

1 **IPCC WGII Fourth Assessment Report – Draft for Government and Expert Review**

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3 **Chapter 9 – Africa**

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1 Executive Summary

2
3 Africa is one of the most vulnerable continents to climate change and variability. Poverty is endemic
4 in many areas of Africa and the number of Africans living below the poverty line has increased with
5 sub-Saharan Africa being the only region in the world where, overall, poverty, livelihoods and food
6 security continue to deteriorate. Environmental disasters (e.g. droughts and floods), ‘creeping’ social
7 disasters (e.g. HIV/AIDS) and conflicts, further aggravate and threaten livelihoods, triggering new
8 refugees and a host of knock-on impacts. In this chapter, the role of climate change and variability, as
9 an *additional, but critical stressor*, to an already ‘vulnerable’ continent, is examined.

10 11 *Knowledge assessment in the TAR and developments since the TAR*

12
13 In previous IPCC climate assessments e.g. The Third Assessment Report (TAR), Africa was shown
14 to be highly vulnerable to climate change. Impacts of particular concern, included food production,
15 human health, water resources, desertification, and coastal zones. Possible new development
16 pathways, including a range of technological options were profiled as ways to possibly enhance
17 African resilience to change, including climate change. Options included: improved cooperation and
18 improvement in science, resource development and improved market access. Despite such
19 suggestions and developments, the report concluded that the adaptive capacity to climate change was
20 low.

21
22 Since the TAR, important scientific shifts, from a focus on an *impacts-led* approach to the inclusion
23 of and effort to better understand climate change and variability from a *vulnerability-led* approach,
24 have occurred (Adger *et al.*, 2004). The former focuses on the physical hazards associated with
25 climate variability, with an emphasis on future modelling assessments and a range of projections
26 including population changes. The latter attempts to better understand the underlying causes (e.g.
27 socio-economic, institutional, cultural and other contextual factors) that may enhance vulnerability to
28 climate risks and stresses. This shift in approach has been used to frame much of what follows in this
29 chapter¹ and has arguably enabled a greater sensitivity to, and deeper understanding of, the ‘role of
30 multiple stresses’ in heightening vulnerability to climate stress.

31
32 *Current sensitivity/vulnerability:* Warming through the twentieth century in Africa has been at the
33 rate of between 0.26 and 0.50°C/century. There has been an increase in precipitation in the semi-arid
34 regions of West Africa, and an increasingly wetting trend in East Africa. Floods and droughts, which
35 have caused major disruptions in the economy of many African countries, continue to aggravate
36 vulnerability in the continent.

37
38 Various socio-economic, cultural and bio-physical factors and processes currently shape the
39 contextual vulnerability of Africa to climate change and vulnerability. The natural environment and
40 ecosystems, for example, are under stress from the combined pressures of habitat loss, over-
41 harvesting of selected species and the spread of alien species. Notable changes in biophysical
42 systems, such as the disintegration of the ice fields of Kilimanjaro (1962 – 2000), have been
43 observed. Such changes are resulting in a range of ‘knock-on impacts’ (e.g. ice-sheet loss, exposing
44 vegetation change, leading to changes in evaporation and to the overall water balance change).
45 Human health, already compromised by a range of factors, could also be negatively impacted by
46 climate change. It is estimated, for example, that the population currently at risk of malaria epidemics
47 is 124 million resulting in an estimated 155,000- 310 000 deaths. Climate change, while disputed by
48 some, could aggravate this situation as well as other health-related problems e.g. HIV/AIDS.

49

¹ The definition of vulnerability used in this chapter can be found in the SPM and the Glossary.

1 The role of climate variability is already exacerbating various other social ‘drivers’ of change and
2 inequities, such as environmental management and governance at various levels in a number of
3 sectors, including water, energy, health, agriculture, biodiversity and energy. Several countries are
4 already facing energy and water crises including relatively developed countries such as South Africa.
5 There are, however, large disparities in water stress across the continent with some areas not as
6 severely water stressed as others. Increasing urban settlement (in an estimated 43 cities with more
7 than one million inhabitants, a figure estimated to grow by 70 in 2015) will also place increasing
8 pressure on over-strained and limited infrastructural resources, including shelter, water, sanitation
9 and waste management that would be aggravated by periods of more frequent and intense droughts
10 and floods. Inequity and lack of access to resources, including land, are also becoming major drivers
11 of resource conflict and heightened vulnerability to a range of factors.

12
13 Agricultural production and food security (including access to food), for example, continue to be
14 serious concerns in many African countries and regions. The agricultural sector in Africa is critical,
15 contributing an average 21% of the GDP in many countries, ranging from 10-70%. A concern, for
16 local livelihoods however, is the interplay of a range of multiple stresses compounding food
17 insecurity, particularly in terms of *access* to food. *Production* of food *and access* to food, are
18 constrained by a number of factors, including, legacies of structural adjustment policies and a lack of
19 access to infrastructure and resources and international trade regimes. The pursuit of non-farm
20 livelihoods and diversification of non-agrarian forms of activity to enhance livelihoods are,
21 moreover, argued by some to be changing the rural face of Africa. Non-farming livelihoods, for
22 example, may already account for as much as 40-45% of average household income in some areas.
23 Trade, including the role of varying enterprises and markets (e.g. supermarkets), is adding to the
24 range of factors changing rural, peri-urban and urban Africa. Such changes in community livelihood
25 profiles may mean a range of different impacts associated with climate change and variability than
26 has been previously assumed or investigated.

27
28 *Assumptions of future trends:* It is against this backdrop, that climate variability, for example,
29 continues to aggravate and heighten many of the aforementioned stresses in several African countries
30 and regions. Extreme dry and wet periods continue to persist. From 1970-2004, an estimated 14
31 African countries reported drought (lasting from 1 to 3 years) more than 10 times. Most were located
32 in arid and semi-arid areas aggravating the livelihood security of farmers and pastoralists. Available
33 evidence shows that temperatures continue to increase in Africa. Rainfall trends and projections are,
34 however, more uncertain. Certain model projections, for example, show a drying, particularly in
35 winter in parts of southern Africa, increases in rainfall in eastern Africa and drying along Africa’s
36 Mediterranean coast.

37
38 *Examples of some possible future impacts and vulnerabilities:*

39 Despite the uncertainties and lack of ‘precision’ of the models used to generate ranges of scenarios
40 (including future climate and socio-economic scenarios) a range of possible impacts are being
41 postulated. Examples include:

- 42 • *Water:* IPCC's SRES emission scenarios for river-runoff projections for 2050, using HadCM3
43 climate models, indicate a significant decrease in runoff in the north and south of Africa,
44 while the runoff in eastern Africa and parts of semi-arid sub-Saharan Africa is projected to
45 increase by 2050. In addition, it is estimated that the proportion of the African population at
46 risk of water stress and scarcity is likely to increase from 47% in 2000 to 65% in 2025, using
47 estimates based on the proportional changes in area, total population and proportion of water
48 available (9.4.1) Such scenarios could be attenuated, however, by complex development and
49 water management activities, particularly for large river basins e.g. The Nile River and
50 Okavango River Basins and inland lakes e.g. Lakes Victoria and Tanganyika (9.4.1).

- 1 • *Health*: There is much debate about the role of climate and health impacts (e.g. malaria).
2 Some assessments, however, show that by 2050 and continuing into 2080, large parts of the
3 Western Sahel and much of southern-central Africa would likely become unsuitable for
4 malaria transmission but suitability will increase in Southern Africa and the East African
5 highlands in areas that are currently malaria free. Other health factors (e.g. HIV/AIDS) may
6 compound periods of climate stress associated with climate change (9.4.3).
- 7 • *Agriculture*: Losses of potential agricultural land are shown for sub-Saharan Africa. Gains
8 and losses in both agricultural potential land and crop production are also shown, using AEZ
9 (agro-ecological models). These impacts are shown to be severe for many African countries,
10 by the 2080s, further aggravating food security. Other sources of food e.g. from lakes could
11 also be impacted, with reductions in primary productivity reducing fish yields of about 30%
12 estimated for Lake Tanganyika and from coastal areas where increases in turbulence could
13 reduce coastal fisheries by 50-60%. Various local level and household level impacts are not
14 included here but would be critical for household food security (9.4.4).
- 15 • *Ecosystems*: Some expected key future vulnerabilities and impacts are (9.4.5): changes in
16 aquatic ecosystem productivity of Lake Tanganyika, as indicated above; lake level
17 fluctuations in Africa and possible impacts on fish species; environmental changes in North
18 African wetland lakes; changes in extinction risks of known species and changes in desert
19 environments. Preliminary assessments (using time thresholds of 2025, 2055, 2985) also
20 show that over 5000 African plant habitats may undergo loss of species or shifts in habitat
21 (between 81-97%) particularly for those in sub-Saharan Africa. Many species may shift to
22 higher altitudes, and some (25-42%) are projected to lose their habitats by 2085. Two biomes,
23 the fynbos and succulent Karoo in southern Africa are the most vulnerable to projected
24 climate changes, whilst the savanna is argued to be more resilient. A loss of between 51% and
25 65% of the the Fynbos biome, for example, is projected by 2050 (9.4.5).
- 26 • *Coastal zones*: Land losses either due to coastal erosion or inundation could occur as a result
27 of climate change. In the Mediterranean coastal zone of Egypt, using the SRES scenario,
28 between 2,040 and 4,580 ha could be lost by 2100 due to sea level rise and land subsidence.
29 In Cameroon, it has been estimated that by the year 2100 the length of the salt wedge in the
30 Wouri estuary could decrease with a rainfall increase of 15% while it could increase in case
31 of a rainfall decrease of 11%. In the Gulf of Guinea, sea level rise could induce overtopping
32 and even destruction of the low barrier beaches which limit the coastal lagoons while changes
33 in precipitation will affect the discharges of rivers feeding them. These changes will affect the
34 ecosystems (mangroves) as well as lagoonal fisheries and aquaculture (9.4.6)

36 *Adaptation*

37 It is with these scenarios of possible change (see Summary Fig 9.5.) in mind that current adaptive
38 capacity and adaptation options are considered for Africa. Much of the available data shows that many
39 regions may be at risk from a range of stresses, many aggravated by climate change and variability.
40 Sub-Saharan Africa emerges repeatedly as a 'hot spot' of vulnerability to climate change and other
41 stresses. It is not, however, only climate change that may add to the burdens of Africans. Rather it is
42 the net negative impact that could add to the existing vulnerabilities in the continent. Several regional
43 plans and policies could, however, enhance adaptation including those linked to development. At the
44 local level, several case studies, however, show the difficulty of designing a 'one size fits all' strategy.
45 It is the interaction of the various 'multiple stresses' at various levels, including the composition of
46 livelihoods, the role of social safety nets and other social factors that affect 'contextual' vulnerability
47 and adaptive capacity (see the section on adaptation below), that require greater research and
48 attention.

50 *Implications for Development*

51 Such multiple interactions therefore require creative multiple interventions at various levels.

1 Twinning adaptive capacity and development, as alerted to above, could be an option for Africa to
2 enhance resilience to such multifarious changes. A range of factors including wealth, technology,
3 education, information, skills, infrastructure, access to resources and management capabilities and
4 political will may enhance adaptive capacity. Adaptive capacity, for example, is being shown to be
5 ‘successful and sustainable’ when linked to effective governance systems, civil and political rights,
6 and literacy.

7
8 Having provided a brief summary of some key vulnerabilities, possible future impacts and adaptation
9 implications for development, the remainder of the chapter illustrates in further detail the issues
10 outlined above.

9.1 Introduction and summary of knowledge assessed in the TAR

Africa is a continent vulnerable to a range of stressors including climate change and variability. Recent important scientific shifts, from a focus on an *impacts-led* approach to the inclusion of and effort to better understand climate change and variability from a *vulnerability-led* approach (Adger *et al.*, 2004), have occurred. The former focuses on the physical hazards associated with climate variability, with an emphasis on future modelling assessments and a range of projections including population changes. The latter attempts to better understand the underlying causes (e.g. socio-economic, institutional, cultural and other contextual factors) that may enhance vulnerability to climate risks and stresses. This shift in approach has been used to frame much of what follows in this chapter² and has arguably enabled a greater sensitivity to, and deeper understanding of, the ‘role of multiple stresses’ in heightening vulnerability to climate stress.

Prior assessments, (e.g. the TAR) shows a warming of approximately 0.5° during the 20th century, a decrease in rainfall over the Sahel, and an increase in rainfall in east and central Africa. Projected future changes in mean seasonal rainfall under intermediate warming scenarios, show that by 2050 North Africa and the interior of southern Africa may experience decreases during the growing season. Major vulnerabilities and impacts highlighted in the report include: a reduction in soil moisture in sub-humid zones, a reduction in runoff of major river basins, droughts in some regions, critically low levels in lake storage and major dams, with consequent impacts on hydropower generation and industries; drastic shifts of biodiversity-rich biomes such as mountainous biomes, losses in other biomes e.g. mangrove vegetation along lagoons and shores. Food-importing countries are shown to be at greater risk of adverse climate change. Temperature rises and precipitation increase in some regions are also shown to extend the habitats of vector at high altitudes (e.g. malaria) and other diseases (e.g. cholera), particularly in areas where sanitary infrastructure is inadequate. Sea-level rise, coastal erosion, salt water intrusion, and flooding will have significant impacts on African communities and economies, mainly on mega cities lying in coastal low lands. Changes in spatial and temporal patterns in temperature, rainfall, solar radiation, and winds will enhance desertification process in arid, semi-arid, and dry sub-humid regions of Africa. The report concludes that the adaptive capacity of human systems in Africa is low because of lack of economic and financial resources and appropriate technologies, while its high vulnerability is a result of heavy reliance on rain-fed agriculture, high frequency of drought and floods in a context of widely spread poverty. The report stresses the low confidence in climate change projections and calls for the development and implementation of regional assessments of vulnerability, impacts and adaptation. Many of these conclusions, as shown below, still remain valid for this fourth assessment.

9.2 Current sensitivity/vulnerability

9.2.1 Current sensitivity to climate and weather

The continent, the second largest and comprising a land area of about 30 million km², exhibits large contrasts in its surface terrain and vegetation which may be important in modulating the global climate (AMCEN/UNEP, 2002). The climate of the continent is controlled by complex maritime and terrestrial interactions and experiences a wide range in climate from the humid tropics to the hyper-arid Sahara. Several sectors and ecosystems are vulnerable to these changes in climate, both in the short and the long term, as outlined below.

Climate exerts a control on the economic development of Africa, particularly the agricultural and

² The definition of vulnerability used in this chapter can be found in the SPM and the Glossary.

1 water resources section. Extreme events (like floods and droughts) are responsible for major
2 disruptions in the economy of the countries (Benson and Clay, 2004; Washington *et al.*, 2004).
3 Observations since the TAR, show that the continent of Africa is warmer than it was 100 years ago,
4 warming trends being not geographically uniform (King'uyu *et al.*, 2000; Kruger and Shongwe,
5 2004). The warming rate is between 0.26 and 0.50°C/century, with slightly more warming in the June-
6 August (JJA) and September-November (SON) seasons (Hulme *et al.*, 2001, Malhi and Wright,
7 2004). In East Africa, a rising in minimum temperatures is observed since 1939 (King'uyu *et al.*, 2000;
8 Conway *et al.*, 2004). For precipitation, there is a more complex regional differentiation, with a
9 reduction in annual rainfall, for example, observed at all latitudes between 6° and 20°N particularly in
10 the semi-arid regions of West Africa (Nicholson *et al.*, 2000; Nicholson, 2001) as well as in North
11 Congo (Malhi and Wright, 2004), even though Chappell and Agnew (2004) question the reality of this
12 decline in the Sahel. However, a positive trend is registered in the Soudano-Guinean zone (Nicholson
13 *et al.*, 2000) while in Southern Africa no long term trend is evident (Richard *et al.*, 2001).

14
15 Interannual rainfall variability is large over most of Africa and for some regions, most notably the
16 Sahel, multi-decadal variability has also been substantial (Hulme *et al.*, 2001). This variability
17 depends on different teleconnections and is evident in some climate models (Vizy and Cook, 2001,
18 McHugh and Rogers, 2001; Rowell, 2003; Cook *et al.*, 2004). These teleconnections are also linked
19 to the El Niño-South Oscillation (ENSO), gradients of sea surface temperature between the northern
20 and southern global oceans, especially the Atlantic, and the NAO (Dai and Wigley, 2000; Nicholson,
21 2001; Richard *et al.*, 2001; Nicholson and Grist, 2003; Gianini *et al.*, 2003; Todd and Washington,
22 2004). The two regions in Africa with the most dominant ENSO influences are eastern equatorial
23 Africa during the short October-November rainy season and south-eastern Africa during the main
24 November-February wet season. The recurrent drying of the Sahel region since the 1970s seems to be
25 linked with a positive trend in the equatorial Indian Ocean sea surface temperatures (Giannini *et al.*,
26 2003). Moreover, feedback mechanisms -mainly deforestation/land cover change and dust - may play
27 a role in climate variability and change in the continent (Wang and Eltahir, 2002; Nicholson, 2001;
28 Prospero and Lamb, 2003).

29
30 Droughts and floods seem to have increased in frequency and severity over the past 30 years
31 (AMCEN/UNEP, 2002). Droughts have mainly affected the Sahel (Dia *et al.*, 2004), the Horn of
32 Africa and Southern Africa particularly since the end of the 1960s (Brookes, 2004; Prospero and
33 Lamb, 2003; L'Hôte *et al.*, 2002; Richard *et al.*, 2001; Nicholson, 2001). One third of the people in
34 Africa live in drought-prone areas (World Water Forum, 2000). During the mid-1980s, drought's
35 economic losses totalled several hundred million US\$ (Tarhule and Lamb, 2003). Moreover droughts
36 have largely contributed to human migration, cultural separation, population dislocation and the
37 collapse of prehistoric and early historic societies (Pandey *et al.*, 2003). Floods are recurrent in some
38 countries and linked with ENSO events. Even countries located in dry areas (Tunisia, Egypt,
39 Somalia) have not been flood-safe (Kabat *et al.*, 2002). The 'health' of the environment including
40 ecosystems, agriculture, health as well as animal health and settlements is also strongly linked to
41 climate and climate change. In the section that follows, some of the current sensitivities of
42 ecosystems, agriculture, health and settlement to climate change and variability are shown.

43
44 *Ecosystem sensitivity/vulnerability:* Fluctuations in climate already exert a major influence on
45 ecosystems, setting the spatial ranges of certain species and habitats. Africa is well recognized for its
46 rich and diverse biological resources and these natural systems form the foundation of the economy
47 of most countries, from which the majority of the population derive their livelihood (Desanker,
48 2003). Africa contains about one-fifth of all known species of plants, mammals, and birds, as well as
49 one-sixth of amphibians and reptiles. Biodiversity in Africa, which principally occurs outside
50 formally conserved areas, is, however, under threat from climate change and other stresses. Africa's
51 social and economic development is constrained by climate change, habitat loss, over harvesting of

1 selected species, the spread of alien species, and illegal activities such as hunting and deforestation
2 which threaten to undermine the integrity of the continent's rich but fragile ecosystems (UNEP,
3 2002; Huq *et al.*, 2003; Thomas *et al.*, 2004). Approximately half of the sub-humid and semi-arid
4 parts of the southern African region, for example, are at moderate to high risk of desertification (e.g.
5 Reich *et al.*, 2001; SAfMA, 2004).

6
7 Previous assessments of some changes in biodiversity associated with climate change, were those
8 associated with coral bleaching and erosion of coastal environments. Different processes of
9 mangrove degradation have been observed that can be due to changes in substrate (from mud to sand
10 brought in by increased coastal erosion) or increases in salinity (Niang-Diop *et al.*, 2005) but also to
11 different anthropogenic activities such as deforestation and urban extension (Din *et al.*, 2001; Din
12 and Blasco, 2003). The 1997-98 bleaching event, for example that affected most of the coral reefs in
13 the Indian Ocean and Red Sea was associated with a strong ENSO event. The recovery has been
14 strong in some areas but weak in others. Recent outbreaks of the 'crown-of-thorns' starfish, for
15 example, have occurred in Egypt, Djibouti and western Somalia, along with some local bleaching
16 (Kotb *et al.*, 2004). In the Western Indian Ocean region, an average of 30% mortality of corals was
17 recorded and losses in tourism in Mombasa and Zanzibar were estimated at about US\$ 12-18 million
18 (Payet and Obura, 2004). Coral reefs are also, however, exposed to other local anthropogenic threats
19 including land fills, dredging, sedimentation, shipping accidents, sewage discharge and effluents
20 from desalination plants, mostly around towns, cities and tourist development sites.

21
22 Another suite of ecosystem changes that appear to be coupled to climate change are those observed
23 on and around Mount Kilimanjaro. There is strong evidence that the ice fields in the Kilimanjaro
24 Parks are being reduced by a range of factors, the most notable being climate change. The ice cap on
25 Kilimanjaro has been decreased by about 55% between 1962 and 2000. The role of feedbacks and
26 other multiple stresses (e.g. land use change and fire) also, however, play a critical role. The loss of
27 'cloud forests' through fire since 1976, has resulted in a 25% annual reduction of fog water (the
28 equivalent of the annual drinking water of 1 million people living in Kilimanjaro) (Hemp, 2005;
29 Agrawala, 2005). Kilimanjaro National Park is among the leading national parks in revenue
30 collection (Bonine *et al.*, 2004) and the loss in glaciers could threaten the income generation
31 capability of the National Park. Other 'knock-on' effects include implications for water in the
32 surrounding areas. The ecosystem supplies water into the Pangani Basin through the Pangani river
33 system. Water is used for irrigation on the densely populated slopes of the mountain, where
34 traditional furrows are employed to irrigate coffee, bananas and other food crops (Ngana, 2001 &
35 2002). Further downslope, water from the mountain is used to irrigate large agricultural schemes
36 (paddy rice and sugar). Along the Pangani River there are also some hydropower plants (e.g.
37 Nyumba ya Mungu Dam – 8 MW, Hale – 21 MW, New Pangani Falls – 45 MW and Old Pangani
38 Falls – 17.5 MW). All these rely mainly on water from the mountain. Electricity generated
39 contributes about 17% of the hydropower produced in Tanzania (Ngula, 2002). There are other
40 small-scale water users such as traditional irrigators, livestock keepers and fishermen whose numbers
41 are also significant bearing in mind that the water passes through the semi arid area where water and
42 green pastures during the dry season are scarce resources (Ngana, 2001 & 2002).

43
44 *Agricultural sensitivity/vulnerability to climate and weather:* The agricultural sector is also critical in
45 Africa, contributing an average 21% and ranging from 10% to 70% of the GDP (Mendelsohn *et al.*,
46 2001), and is very sensitive to climate change and variability, particularly as much of Africa depends
47 on rain-fed agriculture. African agriculture has the lowest record of productivity in the world
48 (Mendelsohn *et al.*, 2001) and the lowest share of area planted with improved varieties in the world
49 (Mendelsohn *et al.*, 2001, Sachs *et al.*, 2004). Per capita arable land in the continent has shrunk from
50 0.38 hectares to about 0.25 hectares over the past 20 years (Sachs *et al.*, 2004). In semi-arid regions
51 of sub-Saharan Africa, farmers and pastoralists have to contend with extreme natural resource

1 challenges (including limited water, poor soil fertility and a range of other resource constraints
2 including inputs and improved seeds), situations that are usually aggravated by periods of prolonged
3 droughts and floods often marked during El Nino situations (Benson and Clay, 2004; Vogel, 2005).
4 Recent assessment, for example, show that African food production is significantly reduced if global
5 climate changes towards more El Nino-like, conditions with maize production most strongly affected
6 (Stige et al, 2006).

7
8 *Sensitivity/vulnerability of the health sector to climate and weather:* Climate-related diseases rank as
9 high priority for their large global burden of disease and their high sensitivity to ecological change in
10 the tropics in general. These diseases include malaria, schistosomiasis and lymphatic filariasis in
11 cultivated and inland water systems in the tropics; dengue fever in tropical urban centres;
12 leishmaniasis and Chagas disease in forest and dryland systems; meningitis in the Sahel; and cholera
13 in coastal, freshwater and urban systems. In Africa, it has been estimated that the population
14 currently at risk of epidemic malaria is 124.7 million resulting in 12.4 million cases of malaria and an
15 estimated 155,000-310,000 deaths due to epidemics (Worrall *et al.*, 2004). An increase in malaria
16 incidence and prevalence can be expected to increase with poverty, where a five-fold difference in
17 the GDP between malarious and non-malarious countries has been noted (Sachs and Malaney, 2002).
18 Understanding the links between climate and malaria is therefore critical.

19
20 Despite debates on the links between climate and malaria (e.g. Hay *et al.*, 2002) several examples of
21 possible links have been highlighted. Areas with unstable malaria transmission are most sensitive to
22 the impacts of climate variability and these are highlands and semi-arid areas. Thomson et al (2006),
23 for example, have shown that malaria epidemics in semi-arid areas are highly correlated to
24 anomalously high rainfall. Likewise Githeko and Ndegwa (2001), Abeku *et al.* (2004), Zhou *et al.*
25 (2004) and Patz *et al.* (2002) have shown that malaria epidemics are associated with positively
26 anomalously temperature and rainfall in the highlands of Eastern Africa. Hay et al 2002 have
27 disputed these findings and offer drug resistance as an alternative explanation. Increases in malaria,
28 rather than being attributed to climate are, argued by Hay *et al.* (2002) to be attributable to resistance
29 to drugs, decreases in DDT spraying etc and collapse in vector control programmes (e.g. Hay *et al.*,
30 2002). When climate changes for a period 1911-1995, in the Eastern Highlands, were investigated,
31 for example, no evidence for significant trends in climate were found.

32
33 Furthermore malaria epidemics are episodic in nature while drug resistance is incremental, and there
34 is thus a mismatch between drug resistance and trends in malaria epidemics (Zhou *et al.* 2004). The
35 historical records for Africa show warming of approximately 0.7°C over most of the continent during
36 the 20th Century and an increase in the frequency and intensity of climate variability (IPCC 2001).
37 Munga *et al.*, (2006) have shown that a 0.8°C increase in temperature resulted in a 10 day decrease in
38 malaria vector larval developmental time. The impact of this is an increase in the numbers of
39 mosquitoes per generation and increased malaria transmission.

40
41 There are indications that in areas that have two rainy seasons, March April and June (MAJ) and
42 September October and November (SON), more rain is being experienced in SON than before. The
43 later period is relatively warm and such a trend is likely to increase malaria transmission in the SON
44 period. A second line of evidence linking climate change to malaria lies in the new records of malaria
45 vector *Anopheles arabiensis* in the eastern highlands of Kenya 1700-1900 meters above sea level,
46 (adjacent to Mount Kenya) where no malaria vectors have ever been recorded (Chen *et al.*, 2006).
47

48 A significant population in Africa is also co-infected with malaria and HIV. The proportional
49 increase of malaria during pregnancy attributable to HIV was estimated to be 5.5% and 18.8% for
50 populations with HIV prevalence of 10% and 40%, respectively. It has been observed that maternal

1 malaria is associated with a two-fold higher HIV-1 viral concentration (ter Kuile, 2004). Thus an
2 increase in malaria in HIV infected populations will increase morbidity risks.

3
4 New evidence from microclimate change due to land use change and spatial distribution of malaria
5 transmitting mosquitoes adds an additional dimension to the debate and shows that such changes may
6 impact on the intensity of malaria transmission in the African highlands. Swamp reclamation, for
7 example, for agricultural use in the highlands of western Kenya, has been shown to increase mean
8 maximum water temperature by 2.4°C and the mean temperature by 0.8°C (e.g. Munga *et al.*,
9 2006). This process reduced the larval development time by 10 days thus increasing the numbers of
10 mosquitoes emerging in a given time interval (e.g. in a month). Transmission of malaria is
11 proportional to the size of the vector population. Deforestation in the highlands of western Kenya has
12 also been linked to an increase in mean indoor temperature by 1.8°C (Afrane *et al.*, 2005). The time
13 taken to digest blood in mosquitoes is also a key additional consideration. The decreased time taken
14 to digest blood in mosquitoes from 4.6 days to 2.9 days, implying that mosquitoes fed on people from
15 once every five to once every three days, which would result in increased malaria transmission.
16 Similar temperature changes have been observed during events such as the 1997/98 El Nino when
17 severe malaria epidemics were observed in the Eastern African highlands.

18
19 Factors that predispose populations to meningococcal meningitis are still poorly understood but
20 climatic conditions associated with the epidemics are dryness, very low humidity, and dusty
21 conditions. About 162 million people in Africa live in areas with a risk of meningitis (Molesworth *et*
22 *al.*, 2003). A recent study has demonstrated that wind speeds in the first two weeks of February
23 explained 85% of the variation in the number of meningitis cases (Sultan *et al.*, 2005). The projection
24 that sea level rise would increase flooding particularly in the coasts of Eastern Africa (Nicholls,
25 2004) may also have implications for health. The potential for climate change to intensify or alter
26 flood patterns may become a major additional driver of future health risks from flooding (Few *et al.*,
27 2004). Floods can also cause malaria epidemics in arid and semi-arid areas (e.g. Thompson *et al.*,
28 2006).

29
30 *Sensitivity/vulnerability of the water sector:* Observed responses to rainfall shifts are already being
31 noted in several water situations. Evidence of interannual lake level fluctuations, for example, have
32 been observed (1993-1997) probably owing to intense droughts and increases in lake levels (e.g.
33 Lakes Tanganyika, Victoria and Turkana) occurring in 1997-1998, and linked to an excess in rainfall
34 in late 1997. After the 1997 flood, the level of Lake Victoria rose by about 1.7m by 1998, Lake
35 Tanganyika by about 2.1m and Lake Malawi by about 1.8m and very high river-flows were recorded
36 in the Congo at Kinshasha (Conway *et al.*, 2004). The heavy rains and floods have been attributed to
37 large scale perturbations in the Indian Ocean (Mercier, Cazenave and Maheu, 2002). The floods of
38 1997 brought both positive and negative socioeconomic impacts. Across Somalia, Kenya, Tanzania,
39 Uganda and Ethiopia, agricultural impacts included areas with increased yield and beneficial effects
40 on pastures due to surplus rainfall and localised crop losses during harvest and post-harvest activities.
41 Other impacts included loss of lives and livestock due to widespread inundation, damage to housing
42 and infrastructure and outbreak of Rift Valley Fever (Conway *et al.*, 2004). Other changes in water
43 and hydrology, linked to climate through complex interactions, include those for southern Africa
44 (Schulze, Meigh and Horan, 2001), and South Central Ethiopia (e.g. Legasse, Valerie-coulomb and
45 Gasse, 2003). Fewer assessments on groundwater and climate are available, and yet this aspect is
46 clearly of great concern for many depending on such water sources.

47
48 *Sensitivity/vulnerability of settlement and infrastructure:* Changes in the ecosystem, possibly
49 triggered by climate variability and climate change, may disrupt human systems and affect
50 livelihoods. African economies are often clustered around natural resource rich zones that are very
51 sensitive to climate variability with more than a quarter of the population residing within 100km of a

1 sea coast (Desanker *et al.* 2001; Denton *et al.*, 2001; Karanja *et al.*, 2004; Davidson *et al.*, 2003;
2 Benson & Clay 2003). These economic activity nodes form the nucleus of settlements, urbanization
3 and development in the continent and are associated with high concentrations of infrastructure
4 systems and population (Ruth 2003).

5
6 As livelihoods are threatened and habitats altered due to drought, inundation, floods, large-scale
7 subsidence, erosion, desertification and other climate related events, Africa may face a new set of
8 refugees: environmental refugees (Myers 2002; McLeman & Smit 2005) including those who move
9 away from vulnerable regions. Fresh challenges will emerge for new settlement types, sizes and
10 distributions, to cater for this population group (McLeman and Smit, 2004). A variety of migration
11 patterns could emerge e.g. repetitive migrants (as part of ongoing adaptation to climate change),
12 short-term shock migrants (responding to a particular climate stimulus), and large-scale migrants.

13

14

15 **9.2.2 Current sensitivity and vulnerability to other stresses**

16

17 Complex socio-economic, political, environmental, cultural and structural factors also configure
18 vulnerabilities to several changes, including climate change and variability. African economies
19 recently registered their highest overall growth in eight years (more than 5 per cent in 2004) (OECD,
20 2004/2005), with Sub-Saharan Africa showing an increase of 1.2 per cent a year since 2000 in
21 average income (UNDP, 2005). Despite this positive progress, several African economies are still
22 vulnerable to regional conflicts, the vagaries of the weather and climate and volatile commodity
23 prices (OECD, 2004/2005). At the regional and country level, certain countries, for example, still
24 face serious problems including those aggravated by conflicts and humanitarian collapses in Sudan,
25 Zimbabwe and the parts of the Democratic Republic of Congo. Sub-Saharan Africa is one of the only
26 regions in the world (see Table 9.1) where, overall, poverty, livelihoods and food security continue to
27 deteriorate (Sachs, 2005).

28

29

30 **Table 9.1: Socio-economic indicators of regions in Africa** (Source: World Bank "World Development
31 Indicators 2003", copyright permission to be obtained).

	Sub-Saharan Africa	North Africa	South Africa	Developing Countries
% Urban population	33.6	59.0	58.6	41.2
Urban population growth rate % (1992-2002)	4.7	2.9	3.1	3.1
Rural population growth rate (1992-2002)	1.7	0.2	-0.4	0.8
Population density 2001 pop/ha	0.3	0.2	0.4	0.6
Population growth rate 1992-2002	2.6	1.7	1.5	1.7
Fertility rate (births per women) 2001	5.0	3.0	3.0	3.0
Life expectancy at birth (years) 2001	46.0	68.0	47.0	64.0
Mortality rate, infant (per 1,000 live births) 2001	105.0	36.0	56.0	61.0
Cereal production per capita in Kg (2002)	120.4	110.6	287.0	242.0

	Sub-Saharan Africa	North Africa	South Africa	Developing Countries
Cereal production per cap growth rate (1992-2002)	-0.2	-2.4	8.2	-0.7
Irrigated agriculture, ha per 1000 pop (2001)	8.4	32.5	33.7	42.4
GDP per capita, PPP (current \$)	1826.0	4314.0	11290.0	3918.0
GDP per capita growth rate (1991-2001) 1995\$	-0.1	1.9	0.2	1.8
External debt, per capita (DOD, current \$) 2001	301.2	673.8	556.2	450.8
ODA per capita (current \$) 2001	20.7	14.9	9.9	11.1
School enrolment, primary (% gross) 2000	86.0	107.8	111.0	...
Vehicles (per 1,000 people) 1996	23.0	74.0	142.0	39.0
Total renewable water resource per capita (m3)	5769.3	3116.1 *		6004.3
Water withdrawal as % of Renewable Resources	3%	51% *		8%

1

2

3 *Human development*

4 The Human Development Index (HDI) has been rising in developing regions in the world except for
5 Sub-Saharan Africa, with twelve countries showing reversals in HDI. Such changes further impact on
6 approximately 240 million people living in countries experiencing HDI reversals (UNDP, 2005). The
7 interaction of economic stagnation, slow progress in education and the spread of HIV/AIDS has,
8 moreover, produced a 'free fall' in HDI ranking with southern Africa accounting for some of the
9 steepest declines (UNDP, 2005, 22). Child mortality further enhances the grim spectre, with the Sub-
10 Saharan region accounting for 20% of births but 44% of deaths (UNDP, 2005). Africa's contribution
11 to food shortages globally also continues to grow with several countries (e.g. 23 of 36 countries
12 facing severe food shortages were African in February 2005, FAO, 2005). Many countries also face
13 ongoing or chronic food crises (www.fao.org, see for example section 9.6)).

14

15 *Trade, globalization and market reforms*

16 Globalization, issues of trade and equity (e.g. with reference to agriculture see FAO, 2005; Schwind,
17 2005.), for example, are important processes that serve to heighten vulnerabilities in African
18 countries (e.g. see also Sachs *et al.*, 2004, UNDP, 2005 and World Bank, 2006 for more detailed
19 discussion). Space does not permit a full investigation here, but suffice it to say that the multifarious
20 factors 'driving' and 'shaping' poverty and livelihoods in Africa (e.g. Hulme and Shepherd, 2003),
21 changing face of rural Africa (Bryceson, 2004) and the various factors shaping the landscape
22 (e.g.intensification vs extensification, see for example, Gray, 2004) cannot be ignored in an
23 assessment of the possible role of climate change. Climate change in turn may also impact and
24 aggravate the impacts from such processes. The role of structural adjustment, for example,
25 accompanied by complex market reforms and market liberalization have arguable already
26 aggravated the vulnerability of many in Africa, particularly those engaged in agriculture (e.g. large
27 and small-scale farmers) (see for example Kherallah *et al.*, 2000). Fertiliser prices, for example, have
28 risen in response to subsidy removal with declines in fertiliser use in many countries. The average
29 rate of fertiliser use is 9kg per hectare of arable land compared to 107kg in most developing

1 countries (Kherallah *et al.*, 2000; see also id21, April, 2006 and IDS, 2005). Access to credit has also
2 declined in cases where state-sponsored credit systems have collapsed (e.g. Malawi and Tanzania)
3 and access to agricultural extension has also been reduced with associated fiscal cuts in public
4 expenditure. Population growth, which can trigger either intensification of agriculture or expansion
5 into marginal lands, may also trigger conflicts, crop failure, environmental degradation and loss of
6 biodiversity (Fiki and Lee, 2004). Inappropriate crop and land management practices also strip the
7 soils of nutrients and organic matter and leave them vulnerable to degradation, reducing both
8 productivity and sustainability of agricultural systems over time (FARA, 2005).

9
10 One area where these factors combine to heighten vulnerability is in food insecurity. It is estimated
11 that 27% of Africans are undernourished, with some approximating those impacted to be about 210
12 million undernourished people (id21, 2006). Chronic food insecurity, heightened and triggered by
13 climate, but enhanced by a range of factors, persists in Africa (id21, 2006; see also section 9.6 for
14 more details). Chronic food insecurity, moreover, seriously threatens the attainment of the MDGs. To
15 compensate for the shortfall in food supply, Africa receives the highest per capita quantity of food
16 aid in the world amounting to over three million tons of food per year (Conway and Toenniessen,
17 2003). Evidence from sub-Saharan Africa, however, suggests that the sustainability of food aid is
18 questionable, with the trend of food aid supplies in recent years dropping, not only as a percentage of
19 all imports, but also in absolute tonnage imported (Stevens and Kennan, 2001).

20 21 *Governance and institutions*

22 The low level of food production in Africa and the persistent humanitarian situations (e.g. Southern
23 Africa) are not only due to climatic variability or poor African soils, as sometimes suggested, but is
24 also related to policy and institutional failure. Africa is characterized by insufficient institutional and
25 legal frameworks to deal with environmental degradation (Sokona, 2001). There is now substantial
26 evidence (Denton *et al.*, 2002) that institutional weakness in many African countries, mainly in terms
27 of public service delivery, corruption and democratic governance is a critical obstacle to economic
28 performance. Climate change and links to national development strategies are also still poorly co-
29 ordinated in several African countries (e.g. Beg *et al.*, 2002; Denton, 2002), further compounding
30 impacts and frustrating development. Various actors, locations and networks are required to
31 reconfigure innovation processes in Africa (e.g. agriculture) (Scoones, 2005).

32 33 *Access to markets and other infrastructure*

34 There are also few technology options, and many are constrained by limited infrastructure and links
35 to markets. Traditional production systems of rural households are geared for subsistence, and are
36 generally sustainable under conditions of low population pressure and isolated markets. However,
37 this equilibrium is stressed by population growth, which in turn triggers either intensification of
38 agriculture or expansion into marginal lands. In addition, expansion into marginal areas brings risk of
39 conflicts, crop failure, environmental degradation and loss of biodiversity (Fiki and Lee, 2004) Sub-
40 Saharan African countries have extremely low per capita densities of rail and road infrastructure. The
41 existing transport systems were largely designed under colonial rule to transport natural resources
42 from the interior to the nearest port. As a result, cross-country transport connections within Africa
43 tend to be extremely poor and are in urgent need of extension, to reduce intraregional transport costs
44 and promote cross-border trade (Sachs *et al.*, 2004).

45 46 *Science and technology*

47 Most African countries also have not accorded adequate priority to science and technology for
48 development. The lack of access to affordable appropriate technologies seriously constrains
49 sustainable development in the continent. Africa has been described as the world's great laggard in
50 technological advance, particularly in agriculture, where Africa's uptake of High Yielding Varieties
51 has been the lowest in the developing world (Sachs *et al.*, 2004). In the agricultural sector, for

1 example, many African countries depend on inefficient irrigation systems as the efficient ones are too
2 costly for most farmers, resulting in wastage of water (UNEP, 2004). Internet access is also still very
3 low, with an average of one user for every 200 people, compared to a world average of about one for
4 30 (Jensen 2001). In most cases, institutional and human capacities are also too weak to ensure
5 proper management of scientific progress and technological innovation for developmental purposes.

6 7 *Water access and management*

8 In 2000, some 53% of Africa, representing about 60% of the total population, was classified as
9 having water abundance. By 2025, this is likely to decrease to about 35% (Ashton, 2002). These
10 estimates are based on assessments that compare the proportional changes in area, total population,
11 and proportion of water available (Ashton, 2002). Countries characterised by water stress are located
12 in eastern and southern Africa (Arnell, 2004). Water that is available is, moreover, often of poor
13 quality. Lack of access to safe water contributes to illness and deaths and results in health problems
14 (e.g. diarrhoea, intestinal worms, trachoma etc) particularly in children. Significant progress has been
15 recorded in some parts of Africa. In southern Africa, for example, an increased majority of the urban
16 population in the southern African region had access to improved water in 2004 (SAfMA, 2004).

17
18 Water access is also complicated by transboundary water management issues and several African
19 countries share more than 50 major watersheds, river basins and lakes (Ashton, 2002). For instance,
20 the 17 countries in West Africa (ECOWAS members, Chad and Mauritania) share 25 transboundary
21 rivers and the majority of the West African countries have a water interdependency ratio of more than
22 40% (Niasse, 2005). The water dependency ratio represents the share of a country's total renewable
23 freshwater that is generated outside its borders. The dependency ratio for countries, such as Niger and
24 Mauritania, is about 90%. Most of these shared transboundary rivers are without any agreements on
25 equitable use and/or environmental protection. Few have effective institutional arrangements for
26 consultation and cooperation. Procedures for avoiding or resolving international disputes over water
27 are largely lacking. The absence of institutional management of water resources may be the reason for
28 many current conflicts between African countries (Niasse, 2005), with possible heightened conflict
29 expected with climate change impacts on water resources and water scarcity in Africa.

30
31 In larger water basins and watersheds, the interactions of climate and socio-economic conditions and
32 policies influencing water supplies however frustrate simple attributions of the role of climate and
33 water supply and access. There is high confidence, for example in the Nile Basin that temperatures
34 will rise leading to losses in evaporation but there is less confidence in rainfall projections. Integrated
35 analysis of climate change in Egypt together with population changes, land use changes and domestic
36 growth strategies, show that changes in Nile flows may, moreover, be minor in relation to other non-
37 climate changes (Conway, 2000; Yohe et al 2002; Conway, 2005).

38
39 The complex interplay of social and biophysical factors is also heightening the vulnerabilities and
40 sensitivities of many lakes to a range of changes (including climate change). Overfishing, industrial
41 pollution and sedimentation are degrading local water sources such as Lake Victoria (see for example
42 Odada *et al.*, 2004), that impact on catches. The multispecies fishery of Lake Victoria has changed to
43 only three species namely Nile perch, pelagic cyprinid-dagaa and tilapiine.

44 45 *Health management*

46 Much like water, the interaction of several 'human dimensions' e.g. service management, possible
47 stigmatization associated with HIV/AIDS, is also stressing the health sector. Africa is in short supply
48 of health workers, notably, doctors and nurses, where in addition many of the countries have a
49 dilapidatory health infrastructure. The role of HIV/AIDS is contributing to a range of vulnerabilities
50 (e.g. Gomme *et al.*, 2004, www.SARPN.org; Mano, Isaacson and Dardel, 2003; USAID, 2003). The
51 deadly duo of HIV/AIDS and food insecurity in southern Africa (Gomme *et al.*, 2004, see other

1 sources in food security case study 9.6 below) are now key drivers of the humanitarian crisis in the
2 region.

3

4 *Ecosystems degradation*

5 Human ‘drivers’ are also shaping ecosystem services (see also SAfMA, 2005). Several areas, for
6 example Zimbabwe, Malawi, eastern Zambia, central Mozambique as well as the Congo Basin
7 rainforests in the Democratic Republic of Congo are undergoing deforestation with estimates of
8 about 0.4% per year in the 1990s of humid tropical rain cover change (e.g. SAfMA, 2004). In
9 Western and Central Africa loss and fragmentation of forest habitat and poaching of endangered
10 species to meet the growing demand for bush meat is occurring. In Eastern Africa, encroachment of
11 human settlements into protected areas and pastoral areas outside of reserves are priority concerns. In
12 several areas, including Southern Africa, loss of indigenous knowledge and inadequate protection of
13 intellectual property rights are hampering conservation measures, as are over-harvesting (legal and
14 illegal) of medicinal plant species, rare and endangered plants, and "trophy" animals and exotic pets.
15 Alien invasive organisms are a widespread problem throughout the region, particularly in closed
16 ecosystems including Lake Victoria and the Western Indian Ocean Islands (UNEP, 2002).

17

18 *Energy*

19 According to the World Energy Outlook (2002) four out of five people without electricity live in
20 rural areas of the developing world, mainly in South Asia and sub-Saharan Africa. Extreme poverty
21 and the lack of access to other fuels mean that 80% of the overall African population relies primarily
22 on biomass to meet its residential needs (estimates suggest that only 23% of the population has
23 electricity in sub-Saharan Africa and more than 500 million people without electricity in Africa (IEA,
24 2002). In Kenya, Tanzania, Mozambique and Zambia, nearly all rural households use wood for
25 cooking, and over 90% of urban households use charcoal, (e.g. (IEA, 2002; SAfMA, 2004).. The low
26 levels of technological innovations and infrastructural developments in Africa suggest a high
27 dependence of human systems on natural systems for essential amenities like clean water, food,
28 transportation, energy and shelter (Ruth & Kirshen 2003; Sokona & Denton 2001). With more than
29 500 million Africans without access to electricity, Africa is one of the least developed regions in term
30 of electrification; in rural areas, over 83% of the population still lacks electricity. Biomass currently
31 supplies about 85% of energy consumed in sub-Saharan Africa (Hall and Scrase, 2005). The number
32 of people without electricity in this region has doubled in rural areas and tripled in urban areas in the
33 last 30 years with some suggesting that biomass energy has increased roughly in proportion to
34 population growth (Hall and Scrase, 2005). The absence of efficient and affordable energy services
35 can severely damage the health of the poor. In rural sub-Saharan Africa, for example, many women
36 carry 20 kilogrammes of fuel wood an average of five kilometres *every day*, and the lack of
37 refrigeration means that food spoils rapidly. This in addition to constant exposure to carbon
38 monoxide and other particulates from biomass fuel can have significant negative impacts on people’s
39 health (IEA, 2002).

40

41 *Complex disasters and conflicts*

42 The juxtaposition of many of the complex socio-economic factors outlined above and the interplay of
43 biophysical stresses is well highlighted in the impacts and vulnerabilities to disaster risks and conflicts
44 in several areas of the continent. Many disasters are a combination of a climate stressor (e.g. drought,
45 flood), that occurs in conjunction with conflict, disease outbreaks etc (e.g. Benson and Clay, 2004).

46

47 The role of multiple interactions, linking climate to a range of other stresses, is further well
48 highlighted in the case of Malawi and Mozambique. In Malawi, agriculture in 2000 accounted for
49 about 40% of the GDP, a drop of about 4% from 1980. The real annual fluctuations in agricultural,
50 non-agricultural and total GDP for 1980-2001 show that losses during droughts (e.g. as occurred in
51 the mid-1990s) were more severe than disaster losses during the floods in 2001 (Benson and Clay,

1 2004). Despite these differences, food security threats during the locally erratic rains of 2001/02 were
2 also observable. The combination of unsustainable agricultural practices, structural changes in
3 agriculture, institutional weaknesses in agriculture, political instability, short-term variability in
4 external aid levels and the effects of HIV/AIDs on human resources, when coupled to changes in
5 climate are some of the complex mix of factors that shape impacts in many countries of Africa as this
6 case shows (See also food security section 9.6.1 below) (Benson and Clay, 2004). The floods in
7 Mozambique in 2000 also revealed a number of persistent and chronic vulnerabilities that were
8 heightened by the floods. These included: 1) poverty (40 % of the population lives on less than US1\$
9 per day) and another 40 % on less than US2\$ per day; 2) the debt problem is one of the biggest
10 challenges facing the country; 3) most of the floodwaters originate in cross-border basins; 4) there is
11 poor disaster risk-reduction with regards to dam design and management; 5) communication
12 networks are poor etc (Mirza, 2003).

13
14 Armed conflicts, that have become so frequent in Africa, also aggravate environments at risk and
15 threaten livelihoods. The impacts of such disasters can also contribute to rural-urban migration,
16 generating new types of refugees as described earlier. Structural inequalities, resource
17 mismanagement and predatory states have been shown to be some of the key factors driving conflict
18 in the Greater Horn of Africa (Somalia, Ethiopia and Sudan) and the Great Lakes (Burundi, Rwanda
19 and the Democratic Republic of Congo) (Lind and Sturman, 2002). Land distribution and land
20 scarcity also trigger conflicts, usually viewed as conflicts of ethnicity (Lind and Sturman, 2002; see
21 also Balin-Kurti, 2005; James, 2005). Climate change may become an additional driver for conflict
22 such as water conflict (Ashton, 2002) and resource-scarcity conflicts (Fiki and Lee, 2004).

23
24 It is against this background that an assessment of vulnerability to climate change and variability has
25 to be contextualised. Some proxies for national-level vulnerability to climate change, including
26 economy, health and nutrition, education, infrastructure, governance, geography and demography,
27 agriculture, energy and technology, for example, have been used to give some indications of
28 vulnerability to climate change for Africa. The majority of vulnerable countries in an assessment
29 using such proxies (e.g. Brookes, Adger and Kelly, 2005) were situated in sub-Saharan Africa (33 of
30 the 50 assessed were sub-Saharan African countries). At the local level, several case studies similarly
31 show that it is the interaction of such ‘multiple stresses’, including the resilience composition of
32 livelihoods, the role of social safety nets and other social protection measures that affect vulnerability
33 and adaptive capacity (see section on adaptation below). Climate change and periods of climate
34 variability (Davidson, *et al.*, 2003) thus severely aggravate such situations by enhancing
35 vulnerabilities and impacts as well as strictly limiting the adaptive capacity of people across the
36 continent.

37

38

39 **9.3 Assumptions about future trends**

40

41 **9.3.1 Climate change scenarios**

42

43 Having provided some background above that sets the scene for an assessment of the possible role of
44 climate variability and climate change for Africa, attention now shifts to outline some of the
45 scenarios that have been used to derive consequences and vulnerabilities associated with climate
46 change. Although uncertainties in the models and scenarios are still present, there is growing
47 consensus that Africa is warming, with relatively less clarity about precipitation. What remains
48 uncertain, however, is the spatial scale and magnitude of impacts associated with changes since most
49 of the scenarios are based on global scales.

50

51 Very limited experiments of regional to sub-regional climate change scenarios using regional climate

1 models or empirical downscaling have been conducted in Africa mainly due to restricted
2 computational facilities and human resources (Hudson and Jones, 2002; Swart *et al.*, 2002) as well as
3 problems of insufficient climate data (Jenkins *et al.*, 2002). The first results, however, seem
4 promising and better adapted (in both geographical and temporal scales) to the needs of vulnerability
5 analyses.

6
7 Under the medium-high emission scenario (A1B) used with an ensemble of 20 GCMs and for the
8 years 2079-2099, annual mean surface air temperature is expected to increase between 3 and 4°C
9 compared with the 1979-1999 period with smaller values in equatorial and coastal areas (chap.11,
10 WGI). Other experiments using a full range of SRES emission scenarios indicate higher levels of
11 warming with the A1F1 emission scenario for Northern and Southern Africa up to 9°C in June-
12 August and 7°C in September-November respectively for the 2070-2099 period (Ruosteenoja *et al.*,
13 2003). Regional Climate Models experiments give generally smaller values of temperature increase
14 (Kamga *et al.*, 2004). For southern Africa (equator to 45°S and 5° to 55°E) Hudson and Jones (2002)
15 using the HadRM3H regional climate model with the A2 SRES emission scenario found for the
16 2080s a 3.7°C increase in summer (December to February) mean surface air temperature and a 4°C
17 increase in winter (June to August).

18
19 Precipitation projections are generally less consistent with large intermodel ranges in seasonal mean
20 rainfall responses. This is explained partly by difficulties of global circulation models to reproduce
21 the mechanisms responsible for precipitations including, for example, the hydrological cycle (Lebel
22 *et al.*, 2000) or the orography (Hudson and Jones, 2002) but also by some limitations to simulate the
23 different teleconnections and feedback mechanisms which are responsible for rainfall variability,
24 including factors such as dust aerosols concentrations or sea surface temperature anomalies, which
25 are particularly important in the Sahel region (Prospero and Lamb, 2003; Hulme *et al.*, 2001) and
26 southern Africa (Reason, 2002); deforestation in the equatorial region (Semazzi and Song, 2001); or
27 soil moisture in southern Africa (New *et al.*, 2005). These uncertainties make difficult any precise
28 estimation of future runoff especially in arid and semi-arid regions where slight changes in
29 precipitation can result in dramatic changes in the runoff process (Fekete *et al.*, 2004).

30
31 With the A1B scenario and for the years 2079-2099, the mean annual rainfall is very likely expected
32 to decrease in Northern Africa (by about 13%) and northern Sahara, but may likely increase in
33 Eastern Africa (by 7%) while winter (JJA) rainfall will decrease in much of Southern Africa (up to
34 40%) (very likely) (WGI, Chapter 11). In the latter region, Hudson and Jones (2002) also found the
35 largest changes in rainfall during austral winter, with a 30% decrease under the A2 scenario, even
36 though there is very little rain during this season. However, there are differences between the
37 equatorial regions (north of 10°S and particularly east of 20°E) which show an increase in summer
38 (DJF) rainfall due to enhanced convection and a decrease south of 10°S especially over the western
39 and central land areas, associated with a decrease in the number of rain-days and in the average
40 intensity of rainfall. Recent downscaling experiments made for South Africa indicate increased
41 summer rainfall over the convective region of the central and eastern plateau and the Drakensberg
42 Mountains (Hewitson and Crane, 2006).

43
44 For Western Sahel (10-18°N, 17.5°W-20°E), there are still controversies between the global
45 circulation models. While some models project a significant drying (Hulme *et al.*, 2001; Jenkins *et al.*,
46 2005), others simulate a progressive wetting of the Sahel (Maynard *et al.*, 2002; Wang *et al.*,
47 2004; Haarsma *et al.*, 2005; Kamga *et al.*, 2005; Hoerling *et al.*, 2005). However, Kamga *et al.*
48 (2005) stressed that land use changes and degradation, which are not simulated by their model, could
49 induce drier conditions. The behaviour of easterly jets and squall lines is also critical to predict the
50 impacts of climate change in the sub-region (Jenkins *et al.*, 2002).

1 Globally, tropical cyclones could decrease by around 30% with the exception of the North Atlantic
2 (for further details WGI, chap 10). This is accompanied by a 10% increase in maximum wind speeds.
3 However, McDonald *et al.* (2005) found a 6% global decrease in the number of tropical storms but
4 with fewer in the North Atlantic and more in the Indian ocean. Lal (2001) considered very likely a 10
5 to 20% increase in cyclone intensity for a 2-4°C sea surface temperature rise. Regarding sea level
6 rise, the projected contributions of glaciers and ice caps could be less than what was indicated in the
7 TAR while the Antarctica ice sheet is still negatively contributing to future sea level rise (WGI,
8 Chapter 10).

9
10 The effects of a stabilization of the atmospheric CO₂ concentrations at 550 (by 2150) and 750 (by
11 2250) ppm have been assessed using the HadCM2 AOGCM together with the IS92a scenario
12 (Mitchell *et al.*, 2000). For the Sahel region (10-20°N, 20°W-40°E), the projected reductions in
13 annual mean temperatures are respectively 2.9° and 2.1°C (compared to the 1961-1990 mean).
14 Regarding summer (JJA) precipitation a shift from negative to slight positive changes (from -0.09
15 mm per day to +0.12 and +0.18 mm per day respectively) is projected. Stabilization at 750 ppm could
16 delay warming by around 40 years across Africa (Arnell *et al.*, 2002).

17
18 These recent assessments of the mean response to an increase in atmospheric greenhouse gases
19 concentrations suggests a large-scale warming associated with changes in precipitation rates,
20 amounts and regional distribution. There is also evidence that these climate changes are occurring at
21 a rate that may exceed the capacity of many terrestrial plants to adapt under water availability and
22 high temperature stresses. Several studies have highlighted the importance of terrestrial vegetation
23 cover and its dynamic on the physical climate (eg: Bounoua *et al.*, (2000)). The climate system is
24 sensitive to the energy exchange at the land-atmosphere interface through change in albedo,
25 roughness length and soil moisture, all of which are altered by vegetation. Bounoua *et al.* (2000)
26 show that an increase in vegetation density resulted in a year round cooling of 0.8C in the tropics
27 including Africa which could partially compensate for parallel increase in greenhouse warming.

28
29 Climate change projected over the same time horizon as that used by the IPCC in assessing the
30 response of climate to greenhouse gases - next fifty to one hundred years - has shown that land use
31 change plays a central role in modulating climate. Historically in Africa, large-scale anthropogenic
32 degradation of the landscape has converted large areas of forest to wooded grassland and cropland;
33 and wooded grasslands to cropland and resulted in warming (Bounoua *et al.*, 2002). This warming is
34 a result of combination of morphological changes in vegetation offset by physiological changes that
35 reduce latent heat flux of existing compared with undisturbed vegetation. When water efficient,
36 tropical C4 grasses replaced C3 vegetation, latent heat flux was further reduced exacerbating thus the
37 warming. In a subsequent study, Defries *et al.*, (2002) further suggest that unlike the past, most of
38 landscape modification in the future is likely to occur in tropical and sub-tropical regions with the
39 largest impact in Africa. They suggest that tropical warming could be up to 1.5°C greater than that
40 induced by decadal-scale variation in vegetation density and is associated with a decrease and
41 evapotranspiration and an increase in runoff.

42 43 44 **9.3.2 Socioeconomic scenarios**

45
46 There are several socioeconomic scenarios that describe journeys to possible futures. The IPCC
47 SRES scenarios, for example, adopts four story lines, producing four ‘scenario families’ that describe
48 how the world population, economies and political structure may evolve over the next few decades
49 (IPCC, 2000), Details of the SRES scenarios can be found in IPCC (2000) The ‘A’ scenarios focus
50 on economic growth, the ‘B’ scenarios on environmental protection, the ‘1’ scenarios assume more
51 globalisation and the ‘2’ assume more regionalisation. While some have criticised the population and

1 economic details in the SRES scenarios they still provide a useful “baseline” on impacts related to
 2 greenhouse gas emissions (Tol *et al.*, 2005).

3
 4 The projected GDP per Capita for the different regions in Africa under the various SRES scenarios
 5 are presented in Fig. 9.1. In all cases, average income is likely to rise throughout the century, though
 6 West and Central Africa consistently perform poorer than the other regions. Most of the scenarios
 7 assume a continued growth population projections, with the Western Indian Ocean Islands having the
 8 lowest growth rates (Fig 9.2). West Africa has a consistently high population growth except for the
 9 A2 scenario where the population of North Africa is likely to outgrow that of West Africa by 2070.
 10 Nevertheless, these estimates did not consider likely impacts of HIV/AIDS on population, and
 11 therefore must be treated with necessary caution. Four socio-economic scenarios, somewhat similar
 12 to the SRES scenarios were developed by the Global Scenario Group and used in UNEP’s GEO-3
 13 (UNEP, 2002). A comparison of both sets of scenarios shows that the GEO-3 scenarios show a
 14 greater variability between regions than the SRES scenarios (Arnell, 2004).

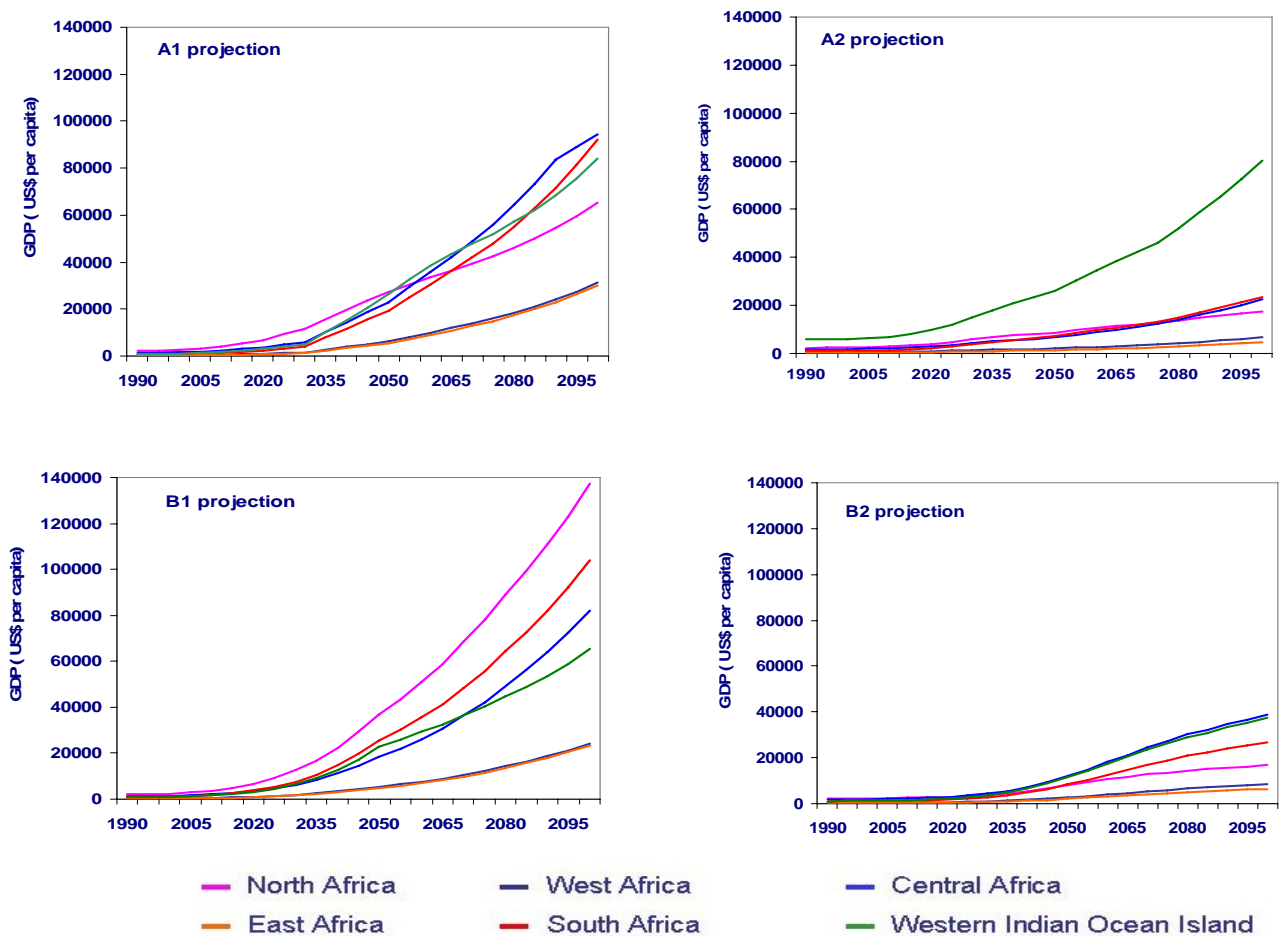


Fig. 9.1: Downscaled GDP for Africa adapted from SRES Scenarios (Data Distribution Centre, IPCC).

45 More recently, the UNDP has provided various scenarios that are linked to the MDG’S up to 21015
 46 (UNDP, 2005, see report as well as methods and caveats to the report). The situation for the already
 47 vulnerable region of Sub-Saharan Africa still appears bleak; twenty-four countries in Sub Saharan
 48 Africa are, for example, projected to be unable to meet several of the MDGs, and not one Sub-
 49 Saharan country with a significant population is on track to meet the target with respect to child and
 50 maternal health (UNDP, 2005, 41). Sub-Saharan’ share of below \$1 a day poverty will also rise
 51 sharply from 24% today to 41% by 2015 (UNDP, 2005).

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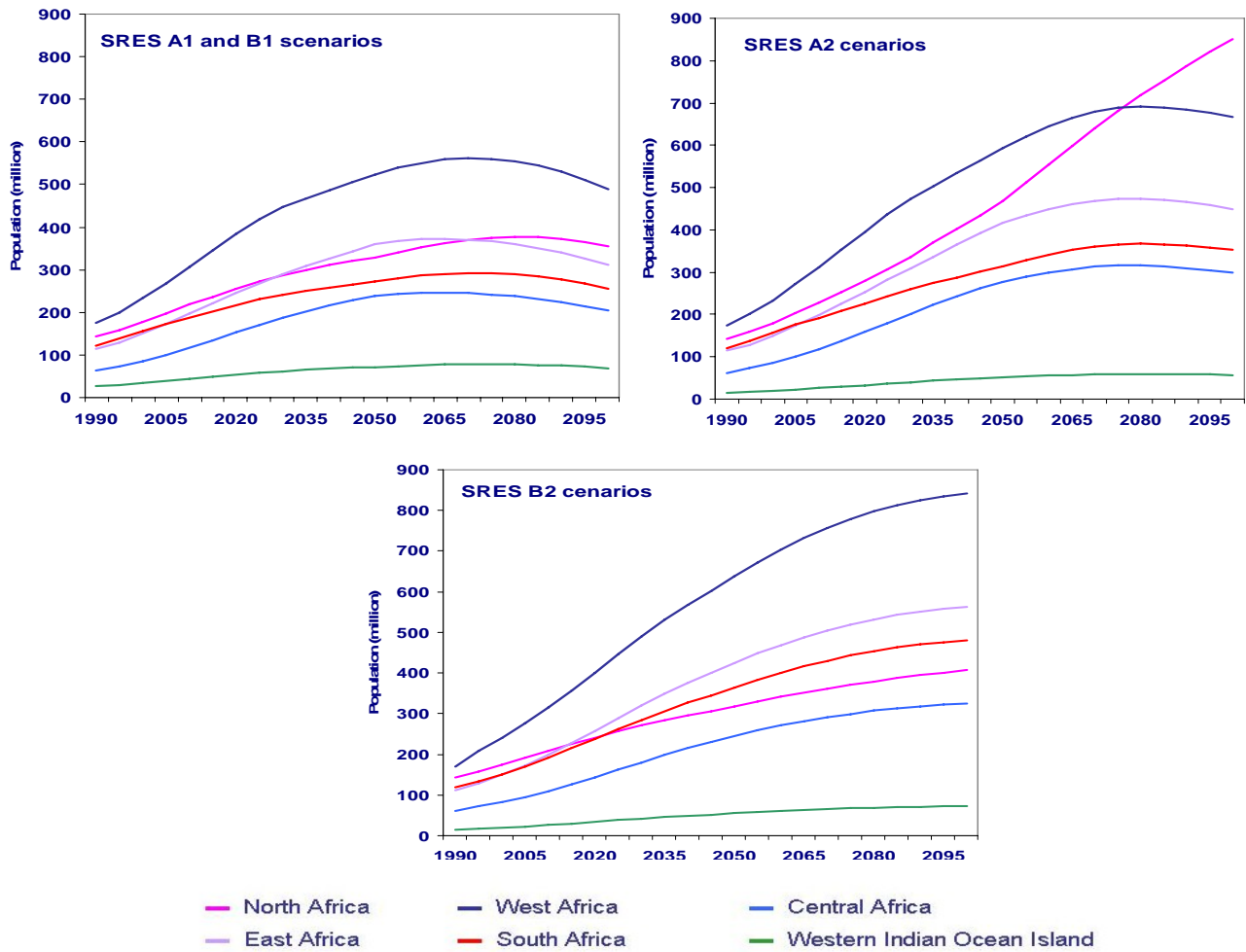


Fig. 9.2: Downscaled regional Population Projection adapted from SRES A1/A2, B1 and B2 Marker Scenario (Downscaled GDP for Africa adapted from SRES Scenarios (Data Distribution Centre, IPCC).

Other outlooks include those linked to population and urbanisation. Due to a high population growth rate of 2.4% (almost twice the world average of 1.3%) the growing population in Africa will exert continued pressure on the provision of safe water, education and health services, as well as threaten food security (UN, 2005). The rapid rate of urbanization in Africa, largely sustained through rural-urban migration, may lead to increases in aggregate commercial energy demand and emission levels (Davidson *et al.* 2003), as well as extensive land use and land cover changes, especially through the development of largely uncontrolled urban settlements with poor housing, water supply, sanitation, waste disposal (du Plessis *et al.*, 2003). Concurrently, this deprives rural households of a significant proportion of their adult, male labour force with consequent stagnation of production and a decline of real incomes in rural areas, which are compounded by lack of investment in agriculture and overexploitation of ecosystem services to deepen vulnerability (Cadisch *et al.*, 2002). Sustained rural-rural migration particularly, from the drier regions to the wetter sub-humid regions, puts undue pressure on land resources both for crops and pasture. This has largely resulted in communal conflicts between pastoralists and sedentary farmers, further weakening the economy of the already impoverished rural areas (Fiki and Lee, 2004)

9.4 Summary of expected key future impacts and vulnerabilities, and their spatial variation

Having provided some background on the scenarios and model capabilities being used, this chapter now focuses on trying to tease out some of the impacts and vulnerabilities that may arise using the various scenarios and model projections as guides.

9.4.1 Water

In the absence of climate change the water situation for many in Africa is already precarious. By 2025, nine countries, for example, mainly in eastern and southern Africa, will face water scarcity (less than 1,000 m³/person/year) and 12 countries will face water stress (1,000 to 1,700 m³/person/year) and the population at risk could be up to 460 million people, mainly in Western Africa (UNEP, 2002). It is estimated that the proportion of the African population at risk of water stress and scarcity is likely to increase from 47% in 2000 to 65% in 2025 (Ashton, 2002). This could increase the number of existing conflicts over water, particularly in the arid and semi-arid regions.

Climate change and variability have the potential to impose additional pressures on water availability and accessibility on both the supply and demand sides in Africa. Arnell (2003 and 2004) describes the implications of the IPCC's SRES emission scenarios for river-runoff projection for 2050 using HadCM3 climate models. These experiments indicate a significant decrease in runoff in southern Africa, while the runoff in eastern Africa and parts of arid Saharan Africa is projected to increase by 2050. Although Arnell's work is based on a global-level analysis and on six climate models driven by SRES scenarios (see Arnell, 2004), it gives a general view about the situation of runoff from African rivers under climate change conditions. Arnell's results are consistent with those of Smith and Lazo (2001) and Huq *et al.* (2003). Further, these results also indicate that the number of the years with runoff below current drought runoff is likely to increase by about 30% across much of southern Africa by the 2050s (Arnell, 2004) (for details about southern Africa see also Schulze, Meigh & Horan, 2001 and Shulze 2005).

By the year 2025 it is projected under SRES scenarios that about 370 million African people will experience increases in water stress, while about 100 million people are likely to experience a decrease in water stress by 2055, as a result of a likely increase in precipitation (Arnell, 2004). The projected number of people likely to experience water stress by 2055 in Northern Africa and southern Africa under various climate scenarios and degrees of warming are presented in Fig. 9.3

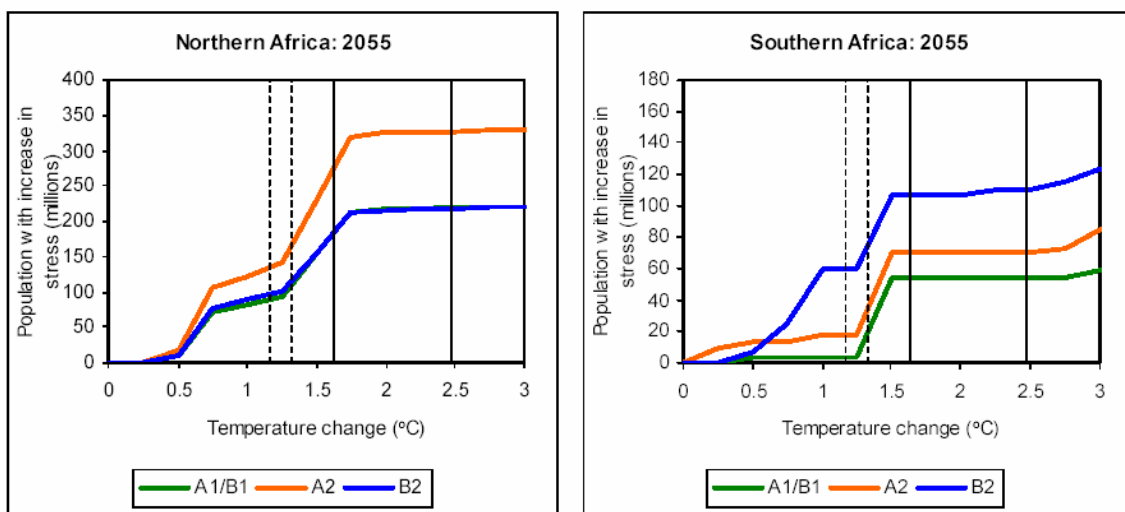


Fig. 9.3: Number of people (millions) with increase in water stress (Arnell, 2005).

1 This projected future water stress and scarcity will have serious impacts on the socio-economic
2 development of the countries affected and will likely adversely affect their food production levels
3 and development plans (Huq *et al.*, 2003). The interaction between climate and other factors
4 influencing water sharing and use are well highlighted for Egypt (Box 9.1).

7 **Box 9.1: Climate, water availability and agriculture in Egypt**

9 Egypt is one of the African countries that could be vulnerable to water stress under climate change.
10 Egypt's water requirements will increase with time as a result of population increase, improvement in
11 living standards as well as the government's policy to reclaim new land and encourage
12 industrialization. Accordingly, a major challenge that is facing Egypt is to close the rapidly
13 increasing gap between the limited water availability (water share is 700 m³/ capita/year) and the
14 escalating demand for water that various economic sectors needs (Abou Zeid, 2002).

15 Agriculture is the main water consumer, about 85% of the annual total water resources. It plays a
16 significant role in the Egyptian national economy (20% of GDP). More than 70% of the cultivated
17 areas depend on low efficient surface irrigation systems, which cause high water losses, land
18 productivity reduction, high ground water levels, and salinity problems. Moreover, the quality of
19 water resources is affected by unsustainable agricultural practices, and improper irrigation
20 management. Reduction in irrigation water quality has in its turn harmful effects on irrigated soils
21 and crops.

22 Agricultural expansion in Egypt has definitely contributed substantially to poverty alleviation,
23 hunger reduction, and food security. However with increasing population any near future plans for
24 future agricultural expansion will require an increase in irrigation supply that will probably depend
25 on water savings from improving irrigation efficiency, agriculture drainage reuse, and development
26 of new groundwater resources (Abou Zeid, 2002). Egypt is optimizing the use of freshwater and
27 exploring the use of new non-conventional water resources such as desalination, wastewater reuse,
28 and agriculture drainage water. But with climate change, an array of serious threats is apparent:

- 29 • Sea level rise (SLR) will reduce areas of the Nile delta, and 12-15% of the existing agricultural
30 land in the delta could be lost;
- 31 • Temperature rises are likely to reduce the productivity of the major crops, and increase their
32 water requirements thereby directly decreasing crop water use efficiency;
- 33 • General increase of irrigation demands;
- 34 • High degree of uncertainty about flow of the Nile;
- 35 • Egypt's population is projected to be between 115- 179 million by 2050 (SRES scenarios), this
36 will increase water stress in all sectors;
- 37 • Ongoing expansion of irrigated areas will reduce the headroom of Egypt to cope with future
38 fluctuation in flow (Conway, 2005).

39 Institutional water bodies are working on the following targets through the national improvement
40 plan till 2017:

- 41 - Improving water sanitation coverage for urban and rural areas;
- 42 - Wastewater management;
- 43 - Optimizing use of water resources by improving irrigation efficiency and agriculture drainage
44 water reuse.

46
47 Based on the inputs from six GCM models, with downscaled estimations and composite ensembles
48 for the period 2070-2090, drainage impacts across Africa suggest that parts of southern Africa are
49 projected to experience significant losses of what little drainage Africa has. Some areas are
50 particularly impacted (e.g. parts of South Africa).(de Wit and Stankiewicz, 2006). Increases of

1 drainage are estimated for East Africa with increases in rainfall generated in the models. A third
2 critical ‘unstable’ area is the east-west band from Senegal to Sudan separating the dry Sahara from
3 west Central Africa. Conway (2005), however, is more cautious and argues that there is no clear
4 indication of how Nile flow will be affected, because of the uncertainty about rainfall patterns in the
5 basin, and over water management.

6
7 Other assessments for the southern African region (e.g. SAfMA, 2004; Murray-Hudson, Wolski, and
8 Rongrose, 2006; Anderson *et al.*, 2006) show that changes in the hydrology of some of the major
9 water systems (e.g. Okavango River Basin) could also be negatively impacted by changes in climate
10 change, in fact substantially more so than changes associated with human activity (e.g. water
11 abstraction, damming etc). Despite the uncertainties associated with model outputs (e.g. HadCM3,
12 CCC and GLFD GCM scenarios) for periods 2020-2050 and 2050-2080, evidence for the Okavango
13 river basin shows that there is a clear indication of reduced flow from 2050 onwards “...with
14 implications that the mean future river regime may be similar to the most extreme conditions
15 observed from historical records” (Anderson, *et al.*, 2006, 27).

16
17 Observed responses to rainfall shifts are already being noted in other terrestrial water sources that
18 could be considered possible indicators of current and future water stress linked to climate change
19 and variability. Evidence of interannual lake level fluctuations, for example, have been observed
20 (1993-1997), and probably result from intense droughts and increases in lake levels (e.g. Lakes
21 Tanganyika, Victoria and Turkana) occurring in 1997-1998, and have been linked to an excess in
22 rainfall in late 1997 coupled to large scale perturbations in the Indian Ocean (Mercier, Cazenave and
23 Maheu, 2002). The role of other complex multiple-stresses e.g. overfishing, pollution, eutrophication
24 and sedimentation also impact on these lakes and influence management and adaptation options to
25 various changes (Odada, *et al.*, 2004).

26
27 Clearly the assessments outlined above are for large-scale coverage and may hide several local
28 variations. It is perhaps in the more local studies that a clearer picture emerges. For example, in the
29 south-western Cape, South Africa, water supply capacity is shown to decrease non-linearly as either
30 precipitation decreases or potential evaporation increases. The most likely change being a reduction
31 of 0.32% per annum to 2020, with climate change associated with global warming predicted to raise
32 water demand by 0.6% per annum in the Cape Metropolitan Region (New, 2002, see also further
33 details of a range of possible impacts associated with the Western Cape, Provincial Government of
34 the Western Cape *et al.*, 2005).

35
36 A number of other ‘drivers’ of change, however, may heighten the stresses of climate change in the
37 water sector, such as water policy, water abstraction, impacts of water pressure etc. The role of water
38 management and water governance in the future will also be key in the water sector. Future access to
39 water in rural areas, that depend on low-order streams for surface supply, need to be seriously
40 addressed by countries which share river basins (e.g. De Wit and Stankiewicz, 2006). In a similar
41 vein, Conway (2005) stresses that climate change should be considered in any future negotiations to
42 share Nile water, which should include proportional shares rather than fixed volumes and called for
43 an update of the volumes used in the 1959 Nile Waters Agreement.

44 45 46 **9.4.2 Energy**

47
48 Africa’s recent and projected rapid urban growth, rising up to 54% of the population by 2030 (UN
49 World Urban Population Report 2004), will lead to increases in aggregate commercial energy
50 demand and emission levels (Davidson *et al.*, 2003), as well as extensive land use and land cover
51 changes especially from largely uncontrolled urban, peri-urban and rural settlements (UNEP, 2002;

1 du Plessis *et al.*, 2003) thus altering existing surface microclimate and hydrology and exacerbating
2 the scope and scale of climate change impacts. The numbers depending on biomass fuels are
3 expected to increase from an estimated 580 million in year 2000 to 820 million in 2030. Charcoal is
4 being increasingly used as an energy source in many urban cases. This dependency has a great
5 impact on the vegetation cover, forest trees and biodiversity in general (GEO, 2004).

6
7 Concerning some futuristic perspectives in the energy sector in Africa, biomass is expected to
8 continue to be widely used, projected to account for 80% of residential energy use in 2030 (EIA,
9 2002). Other projections (e.g. IEA, 2002) suggest that by 2030 most of the people in sub-Saharan
10 Africa will still be without electricity although by 2025 this should stabilize as many seek livelihoods
11 in cities where access to electricity may be possible. Options for exploitation of alternative sources of
12 energy are being explored in both Southern and Northern Africa (GEO, 2003). South Africa is one of
13 the most energy consuming countries in Africa, its energy sector contributing about 15% of the total
14 GDP, and its economy being heavily energy dependant. It is intended in this case, to achieve 5%
15 renewable energy share of electricity production by 2010, when the potential of imported cleaner
16 energy sources (gas and hydro) is considered (Davidson *et al.*, 2002). Electricity supply will increase
17 at a rate of 2.8% per annum. Most of the people without access to electricity in 2030 will, however,
18 be found in sub-Saharan Africa (650 million) (IEA, 2002). The contribution of hydropower's
19 contribution of the total energy resources could decrease from 4.34% in 2001 to 3.39% in 2025 (de
20 Villers *et al.*, 2000).

21
22 It is estimated that Africa will double its population over the next 50 years, which means that the
23 growth in energy demand would show little signs of slowing down through 2050, increasing by over
24 300% with respect to 2000. The region would continue to rely significantly on oil and gas, which it has
25 in abundance, but which to a significant extent will still be exported. Biomass production and other
26 renewable energy production would represent about 40% of total primary energy by 2050 (OECD,
27 2003). Since fossil energy and biomass sources are not sustainable, some countries have initiated
28 energy policies which consist in developing renewable energy sources such as co-generation using
29 sugar bagasse, ethanol programme, small scale bio-energy technologies (Karekezi, 2001). A
30 development project in Sudan integrated the use of energy efficient stoves at the household-level with
31 other natural resources conservation activities. This has largely contributed to energy conservation as
32 well as to improving condition of the vegetation cover (Osman, 2006). A key issue will also be trying
33 to understand more fully the complex mix of fuel uses that people employ, since it is a misconception
34 that electricity simply replaces biomass usage (IEA, 2002) and which electrification projects are
35 feasible given inability to pay for services and other development constraints (IEA, 2002).

36 37 38 **9.4.3 Health**

39
40 In this section future impacts and possible vulnerabilities induced by climate change on both humans
41 and animals are highlighted. Despite the controversy on the links between climate and malaria
42 highlighted above, an area clearly requiring more research and debate, links between climate
43 (including extreme weather events) have impacts on infectious diseases (e.g. Patz *et al.*, 2005;
44 MacMichael, Woodruff and Hales, 2006). Thomas, Davies & Dunn (2004) using the MARA/ARMA
45 models for suitability of malaria in Africa and GCM projections, for example, show that there could
46 be both expansion and contraction of climate suitable areas for malaria by 2020, 2050 and 2080.
47 According to their model, by 2050 and continuing into 2080, a large part of Western Sahel and much
48 of southern-central Africa would likely become unsuitable for malaria transmission, but suitability
49 will increase in Southern Africa and the East African highlands in areas that are currently malaria
50 free. Tanser *et al.* (2003) using parasite survey data in conjunction with HAD CM3 GCM, projected
51 scenarios estimating a 5-7% potential increase (mainly altitudinal) in malaria distribution with little

1 increase in the latitudinal extent of the disease by 2100. Hartman *et al.* (2002) using sixteen climate
2 scenarios show that by 2100, changes in temperature and precipitation could alter the geographic
3 distribution of malaria in Zimbabwe, with previously unsuitable areas of dense human population
4 becoming suitable for transmission. This result is supported by the experiments of Thomas *et al.* ,
5 (2004), where, by the 2050s and continuing into the 2080s, a large area of south–central Africa and
6 the western Sahel were projected to be no longer suitable for *falciparum* transmission. Strong
7 southward expansion of the transmission zone will likely continue into South Africa. Previously
8 malaria-free highland areas in Ethiopia, Kenya, Rwanda and Burundi could experience modest
9 changes to stable malaria by the 2050s, with conditions for transmission becoming highly suitable by
10 the 2080s. By this period, areas currently with low values for stable transmission in central Somalia
11 and the Angolan highlands could also become highly suitable. Among all scenarios, the highlands of
12 eastern and southern Africa will likely become more suitable for transmission.

13
14 An important criterion indicating the effects of climate change and variability is also the altitudinal
15 shift in vector breeding and incidence of disease. Chen *et al.* (2006) observed the presence of malaria
16 vector *Anopheles arabiensis* in the eastern highlands of Kenya where no vectors have been observed
17 before. Cases of malaria have also been reported in the area and these are considered as new records.

18
19 The above observations strongly suggest that climate variability and change will increase malaria
20 transmission in the highlands of Eastern Africa where currently transmission is marginal. Currently
21 16% of the African population is living in the highlands.

22
23 Impacts of climate change on health, for example incidence of malaria, also have to be weighed up
24 with reference to existing vulnerabilities and possible adaptations. Severe malaria-associated disease
25 is more common in areas of low to moderate transmission such as the highlands of East Africa and
26 other areas of seasonal transmission. An epidemic in Rwanda, at an altitude of 2300 m above sea
27 level, in 1998 led to a four-fold increase in malaria admissions among pregnant women and a five-
28 fold increase in maternal deaths due to malaria (Hammerich *et al.*, 2002). The social and economic
29 cost of malaria is huge and spans costs to individuals and households to costs at community and
30 national levels (Malaney *et al.*, 2004; Utzinger *et al.*, 2001; Holding and Snow, 2001).

31
32 Certain gene polymorphisms such as sickle cell genotype and glucose-6-phosphate dehydrogenase
33 (G6PD) deficiency, confer protection against the severe form of malaria. Over time the frequency of
34 these genotypes has increased in areas of intense transmission. In Western Kenya the prevalence of
35 this sickle cell genotype was 26% in a malaria-holoendemic lowland area compared with 3% in a
36 neighboring highland area. Similarly the prevalence of G6PD deficiency was 7% in the lowlands and
37 only 1% in the highlands (Moormann *et al.*, 2003). As the rate of malaria transmission increases in
38 the highlands the likelihood of severe disease may increase due to lack of protective polymorphism
39 in the newly affected populations.

40
41 The role of other health impacts and ‘drivers’ of change (e.g. HIV/AIDS and cholera etc) may also be
42 linked to climate change and climate variability and a range of other factors (Harrus and Baneth,
43 2005). The links between weather and climate and diseases is, however, complex with the role of
44 food security and a range of other multiple stresses compounding and resulting in a range of
45 consequences (see section 9.6). Climate change and variability, for example, may compound existing
46 stresses (e.g. conflict) that may trigger population movement that may then further compound health
47 problems (e.g. Gomme *et al.* 2004). The case in Rwanda, during 1994, is informative (Gomme *et al.*
48 *et al.*, 2004). With changes in population linked to genocide in 1994, there have also been notable
49 changes in food crops grown (e.g. roots and tubers and cassava) as people try to optimise food
50 production in degraded and reduced availability of land after the war and the household impacts of
51 HIV/AIDS (for other research on the links between agriculture and HIV/AIDS, see for example, du

1 Guerny 2002a and b). Such food crops (e.g. cassava) that are starch-based and low in protein may
2 further aggravate health problems. Rainfall changes during the time and the outbreak of cholera
3 epidemic (killing over 40 000 people in 1994) further aggravated the tragedy of the Rwandan people.
4 The complex mix of factors of health and a range of stresses therefore requires innovative and urgent
5 research and development ‘thinking’.

6
7 Having examined some of the possible links between climate change and health, attention now turns
8 to examine changes that could be expected in relation to animals. Livestock in Africa is an important
9 economic activity, and a critical form of food security and wealth. Mixtures of indigenous and exotic
10 livestock are kept and these have varying sensitivity to livestock diseases. Generally exotic species
11 although more economically productive are more susceptible to diseases of livestock in Africa. There
12 has been little research on the impacts of climate change on pests and diseases of livestock in Africa.
13 However, tsetse fly, the major African livestock pest, is associated with sub-humid regions, and may
14 be further limited by increased aridity. Other animal diseases in Africa are *trypanosomiasis*,
15 *babesiosis*, *theirelia* (East Coast Fever (ECF)), *fasioliasis*, *strongyloidiasis*, *haemonchosis*, anthrax,
16 foot and mouth disease, bluetongue, Rift Valley Fever, and African horse sickness (Baylis and
17 Githeko 2005). Thornton *et al.* (2006a) has observed that the demographic impacts on
18 *trypanosomiasis* rise through modifying habitat suitability for the tsetse fly are likely to be
19 considerable and these impacts may be exacerbated by climate change.

20
21 Climate change is expected to affect both pathogen and vector habitat suitability through changes in
22 moisture and temperature (Baylis and Githeko 2005). Consequently changes in disease distribution
23 range, prevalence, incidence and seasonality, can be expected. However there is low certainty on the
24 degree of change. Rift Valley Fever epidemics are associated with flooding and could increase with a
25 higher frequency of El Nino events. Heat stress and drought are likely to have a further negative
26 impact on animal health, production of dairy products, meat and reproduction as already observed in
27 the USA (St-Pierre *et al.*, 2003).

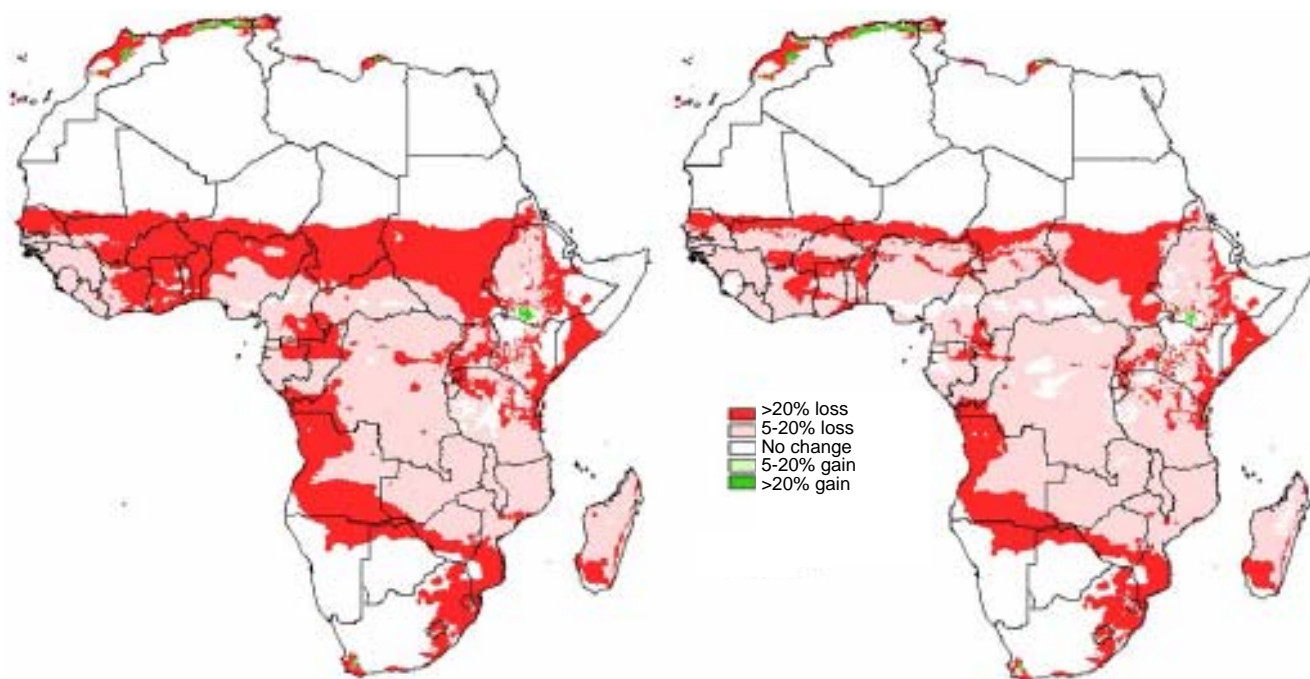
28 29 30 **9.4.4 Agriculture** (*see also section 9.6. on food security below*)

31
32 The agricultural sector in Africa, as highlighted in the executive summary above, is critical, in some
33 African countries contributing an average 21% of the GDP, and ranging from 10-70% of GDP
34 (Mendelsohn *et al.*, 2001). Using previous levels of carbon dioxide and their projected impacts on
35 GDP, the Sahel and IGAD regions are the most vulnerable to climate change and will likely suffer
36 from losses of between 2 and 7%, as a fraction of the GDP. West Africa and Central Africa are also
37 vulnerable ranging from 2 to 4%. In contrast, Northern and Southern Africa are expected to have
38 losses of 0.4%-1.3% (Mendelsohn *et al.*, 2000b).

39
40 Scenarios and estimates of change for African agriculture have been undertaken. Using the
41 FAO/IIASA agro-ecological zone model (AEZ) in conjunction with IIASAA global food system
42 model and using climate variables from five different general circulation models under four
43 socioeconomic scenarios (e.g., HadCM3-A1FI 2080s scenario). Fischer *et al.* (2002, 2005) show that
44 for both suitable rain-fed land extent and production potential of cereals, a significant decrease in
45 Northern and Southern Africa could result. Substantial losses of potential agricultural land (AEZ, for
46 example, projects up to an additional 11% of land area of 265 million hectares to be constrained for
47 crop agriculture in southern Africa, Fischer *et al.*, 2005). By the 2080s, AEZ further estimates and
48 increase of 5-8% (60-90 million hectares) of arid and semi-arid land in Africa. Developing countries
49 consistently face a substantial decrease of wheat-production potential, according to all scenarios for
50 the 2080s (in the order of 15-45%); wheat is virtually disappearing from Africa. In Northern Africa,
51 three-quarters of suitable rain-fed land is lost as compared to reference climate conditions.

1
2 The case for sub-Saharan Africa is not positive and will surely aggravate the various other suite of
3 stresses already constraining development in the region. Simulations with AEZ suggest that there
4 will be decreases of constraint-free prime land for crop cultivation, there will be an increase of
5 moisture stress and constraints of between 30-60 million hectares in addition to the 1.5 million
6 hectares already unfit for agriculture under current climate (Fischer *et al.*, 2005, 2074).
7
8 Individual country results are also a reason for concern. For example, in the results of the HadCM3
9 scenarios, 20-40 poor and food-insecure countries, with a projected total population in 2080 in the
10 range of 1-3 billion, may lose on average 10-20% of their cereal-production potential due to climate
11 change. With the exception of the results for the NCAR-PCM model, Sudan, Nigeria, Senegal, Mali,
12 Burkina Faso, Somalia, Ethiopia, Zimbabwe, Chad, Sierra Leone, Angola, Mozambique, and Niger
13 lose cereal-production potential in the 2080s for three climate models, across all the emission
14 scenarios. These countries currently have 87 million undernourished people, equivalent to 45 % of
15 the total undernourished population in sub-Saharan Africa (Fischer *et al.*, 2005). By contrast, Zaire,
16 Tanzania, Kenya, Uganda, Madagascar, Cote d' Ivoire, Benin, Togo, Ghana and Guinea all gain
17 cereal-production potential in the 2080s. These eight countries, that gain in cereal production,
18 currently have 73 million undernourished, equivalent to 38% of the undernourished population in
19 sub-Saharan Africa (Fischer *et al.*, 2005). Eid and EL-Marsafawy (2002), reported that climate
20 change could decrease national production of many crops in Egypt (ranging from -11% for rice to -
21 28% for soybeans) by the year 2050 compared to their production under current Egyptian conditions.
22
23 Further detailed assessment, combining global and regional-scale analysis (e.g. Jones and Thornton,
24 2003) show three major types of response of the maize crop to climate change which indicate that: i)
25 crop yields decrease (10 % decrease as result of rainfall changes and temperature changes, but to an
26 extent that can be readily handled by breeding and agronomy; ii) crop benefits from climate change,
27 as is the case in the Ethiopian highlands where substantial localized yield increases are predicted,
28 sometimes up to 100 %; and iii) maize yields decline so drastically, all other things being equal, that
29 major changes may have to be made to the agricultural system, or even human population may be
30 displaced. However, the authors while noting changes in maize production for Africa under various
31 model scenarios also caution that such aggregate results hide several local variations (e.g. yield
32 reductions of more than 1 ton/ha could be devastating for local communities). Furthermore, projected
33 changes in the length of the growing (LGP) period from downscaled HADCM3 GCM scenarios (Fig.
34 9.4) show that some of the larger losses and gains are located in areas with LGP less than 60 days i.e.
35 in marginal areas for cropping. Also many parts of sub-Saharan Africa are likely to experience a
36 decrease in the length of the growing period. Other areas could experience an increase in the growing
37 season particularly around highland areas (Thornton *et al.*, 2006).
38
39 Not all changes will, however, be negative and growing seasons in certain areas may lengthen under
40 climate change. Increased temperatures will likely bring better livestock and fishing yields and crop
41 production potential may increase (Fischer *et al.*, 2002). As a result of reduction of frost on the alpine
42 zones of Mt. Kenya and Mt. Kilimanjaro, it may be possible to grow more temperate crops e.g.
43 apples, pears, barley, wheat, etc on the adjoining elevations (Parry *et al.*, 2004).
44
45 Other agricultural activities could also be impacted by climate change. Changes in rain-fed livestock
46 numbers in Africa are already strongly coupled to changes in rainfall and linked to other socio-
47 economic and cultural factors (e.g. Little, Mahmoud and Layne Coppock, 2001; Turner, 2003;
48 Burnsilver, Boone and Galvin, 2003), Several factors, including climate variability and change,
49 constrain pastoral mobility and decision-making in changing environments and these should be
50 carefully considered when assessing impacts and vulnerabilities to climate stress (see Little,

1 Mahmoud and Coppock, 2001; Burnsilver, Boone and Galvin, 2003; Turner, 2003; Boone *et al.*,
 2 2004; Thornton *et al.*, 2004). The impacts of drought on animal survival and productivity, and on
 3 peoples' livelihoods and management systems will also, however, depend largely on socio-economic
 4



24 **Fig. 9.4:** Percentage change in length of growing period to 2050, HadCM3 and scenarios A1F1 and
 25 A2 (copyright to be obtained) (Thornton *et al.*, 2006b)

28 and policy factors, such as whether land tenure systems continue to permit mobility, and whether
 29 markets can be managed to allow greater outflow of livestock during drought-onset (Barton *et al.*
 30 2001).

31
32 Fisheries are also important sources of revenues, employment and proteins, but are also responsible
 33 for overexploitation of some fish stocks (AMCEN/UNEP, 2002). They could be affected by different
 34 biophysical impacts of climate change depending on the resources on which they are based (Niang-
 35 Diop, 2005). In coastal regions that have major lagoons or lake systems, changes in freshwater flows,
 36 and more intrusion of salt waters in the lagoons, will affect species which are the basis of inland
 37 fisheries or aquaculture (Republique de Cote d' Ivoire, 2000; Republique du Congo, 2001, Cury and
 38 Shannon, 2004). In South Africa, fisheries could be affected by changes in estuaries, coral reefs and
 39 upwellings, those being dependant on the two first ecosystems being the most vulnerable (Clark,
 40 2006). Recent simulations based on the NCAR GCM and for a doubling of CO₂ indicate that extreme
 41 wind and turbulence could decrease productivity by 50-60% while turbulence will likely bring a 10%
 42 decline in productivity on the spawning ground and slightly increase by 3% on the main feeding
 43 grounds (Clark *et al.*, 2003).

44
45 Africa is already experiencing a major deficit in food production in several regions (see food security
 46 case study, 9.6 below), and potential declines in soil moisture will aggravate the current agricultural
 47 vulnerabilities (Parry *et al.*, 2004). Climatic variability, particularly the changes in the frequency and
 48 intensity of extreme meteorological events such as droughts and floods already contributes to crop
 49 losses (Jagtap and Chan, 2000). Widespread malnutrition, a recurrent need for emergency food aid,
 50 and increasing dependency on food grown outside the region are all current chronic vulnerabilities
 51 driven by a range of factors often 'triggered by climate variability' (see 9.6) . Questions still remain

1 on the uncertainty associated with the projections shown above including the role of scale (studies
2 are often too global and mask local level dynamics e.g. the role of gender in food access) and the role
3 of multiple stresses and other factors (e.g. trade, governance, culture, land claims etc). Jones and
4 Thornton (2003), while noting changes in maize production for Africa under various model
5 scenarios, caution that such aggregate results hide several local variations (e.g. yield reductions of
6 more than 1 ton/ha could be devastating for local communities). Given the length of time needed for
7 effective agricultural research cycles, we need to urgently identify much more clearly what the
8 system-level impacts of climate change on poor households might be, and if these are far-reaching,
9 then we need to start work on adaptive and ameliorative options as a matter of urgency (Jones and
10 Thornton, 2003) (see more details in adaptation section and food security case study, 9.6, below).

11

12

13 **9.4.5 Ecosystems**

14

15 Ecosystems are not only the foundation of the economy of most African Countries, but also contain a
16 number of plants and animals which constitute about 20 percent of all known species (Biggs *et al.*,
17 2004). With climate change, most of these species may be threatened. Several key ecosystems are
18 presented here including an introduction to terrestrial and aquatic ecosystems followed by a more
19 detailed focus on components of these e.g. lake, mountain, desert and coastal ecosystems

20

21 *Terrestrial and aquatic ecosystems*

22 Climate change and variability may result in species losses, extinctions and also constrain the ‘climate
23 spaces’ and ranges of many plants and animals. Preliminary assessments of over 5000 African plant
24 species show possible shifts in climatically suitable areas for African plant species when using the
25 Hadley Centre third generation coupled ocean-atmosphere climate model for 2025, 2055 and 2085
26 (McClean *et al.*, 2005). Areas of suitable climate for 81-97% of the 5197 plant species examined are
27 projected to decrease in size or shift location (McClean *et al.*, 2005). In South Africa, for example,
28 isolated plant communities, particularly at high altitudes will be affected by temperature rise. Two
29 biomes, the fynbos and succulent Karoo in southern Africa, are predicted to be the most vulnerable to
30 projected climate changes, whilst the savannah is argued to be the most resilient (Van Maltitz and
31 Scholes, 2005). Changes in the seasonal distribution of rainfall could affect fire regimes and plant
32 phenological cues, especially in the southern Cape (Tyson *et al.*, 2002). Assessments also indicate that
33 in South Africa, the savannah and the Nama-Karoo biomes will advance at the expense of the
34 grasslands. In the Kruger National Park, for example, modelling of climate change shows possible
35 losses of up to 66% of species (Erasmus, *et al.*, 2002). Other impacts for other parts of South Africa
36 include those species on the Red List status of the Proteacea in the Cape Floristic region. A loss of area
37 of the Fynbos biome, for example, of between 51% and 65% is projected by 2050 (Midgley *et al.*,
38 2002). With increasing severity of climate, using eight different land use and climate change scenarios
39 for 2080, the proportion of Critically Endangered taxa increases from about 1-7%, and almost 2% of
40 the 227 Proteaceae taxa could become extinct because of climate change. Most of the threatened taxa
41 occur in low lying coastal areas (Bomhard *et al.*, 2005).

42

43 In Malawi, climate change could induce a decline of nyala (*Tragelaphus*) and zebra (*Equiferus*) in
44 the Lengwe and Nyika national parks because these species could not adapt to climate induced
45 habitat changes (Dixon *et al.*, 2003). There is already clear evidence to show that wildlife from the
46 poles to the tropics is being affected by climate change. Species migrations, extinctions and changes
47 in populations, range and seasonal and reproductive behaviour are among a plethora of responses that
48 have been recorded, and these are likely to continue apace as climate continues to change in decades
49 to come (Hockey, 2000).

50

51 Available climate ‘space’ for bird species may also change. Among the potential impacts on southern

1 African birds, those with small ranges and restricted to mountain slopes, mountain tops or islands and
 2 those occurring mainly at the southern or western extremes of these biomes are ranked highest at
 3 risk. By 2050 an estimated six species will lose substantial portions of their range (Simmons, *et al.*,
 4 2004). Despite the uncertainty in current rainfall projections, recent assessments for woody savannah
 5 plants, show despite plant hardiness it may be affected by rainfall declines or increases in periodic
 6 fluctuations Tews and Jeitsch, 2004). Such changes may spawn conflicts between conservation and
 7 other land uses.

8 *Mountain ecosystems*

10 Mountain ecosystems, including, Mt. Kilimanjaro are also vulnerable to future climate change. By
 11 2020 indications are that the ice cap could disappear for the first time in 11 000 years. With rising
 12 temperature one outcome could be upward migration of vegetation zones. Another cause of ice-cap
 13 wasting could lie in increased forest fires as a result of drier and warmer conditions, causing a *down-*
 14 *ward* rather than *upward* migration of species and animals. Downward migration of species of animals
 15 and plants are already observed to be occurring because of climate-change forest fires on Kilimanjaro
 16 (satellite images from book by Arawala, 2005). Here global warming is not causing upward migration
 17 of species but the opposite (Hemp, 2005). Further, the loss of ‘cloud forests’ through fire since 1976
 18 has resulted in 25% annual reductions of fog water (the equivalent of the annual drinking water of 1
 19 million people living in Kilimanjaro) (Hemp, 2005; Agrawala, 2005). (See Box 9.2.)

23 **Box 9.2: Climate change impacts, Mt. Kilimanjaro.**

25 There is clear evidence that climate change is
 26 modifying natural ecosystems such as Mt.
 27 Kilimanjaro. Six ice cores from Kilimanjaro
 28 provide an 11.7 thousand-year record of
 29 Holocene climate and environmental
 30 variability for eastern equatorial Africa,
 31 including three periods of abrupt climate
 32 change: 8.3, 5.2, and 4 thousand years ago
 33 (ka). Also, over the 20th century, the spatial
 34 extent of Kilimanjaro’s ice fields has
 35 decreased by 80%, and if current
 36 climatological conditions persist, the
 37 remaining ice fields are likely to disappear
 38 between 2015 and 2020 (Thomson *et al.*
 39 2002).

41 Disappearance of the ice cap will substantially
 42 reduce goods and services currently offered by
 43 the Mount Kilimanjaro Ecosystem. For
 44 example, Tanzania Government, through
 45 Tanzania National Parks gets revenues
 46 through tourism. Kilimanjaro National Park is
 47 among the leading national parks in revenue
 48 collection (Bonine *et al.*, 2004). Also, the

49 ecosystem supplies water into the Pangani Basin through the Pangani river system. The water is used
 50 in many ways. For example, it is used for irrigation on the densely populated slopes of the mountain
 51 where traditional furrows are employed to irrigate coffee, bananas and other food crops (Ngana, 2001



Source and credit: http://earthobservatory.nasa.gov/Newsroom/NewImages/images.php3?img_id=10856
 Accessed 09 May 2006.

1 & 2002). Further downslopes of the mountain water from the mountain is used to irrigate large
2 schemes (paddy rice and sugar). Along the Pangani River there are three hydropower plants
3 (Nyumba ya Mungu Dam – 8 MW, Hale – 21 MW, New Pangani Falls – 45 MW and Old Pangani
4 Falls – 17.5 MW). All these rely mainly on water from the mountain. Electricity generated contribute
5 about 17% of the ydropower produced in Tanzania (Ngula, 2002). There are other small scale water
6 users such as traditional irrigators, livestock keepers and fishermen whose numbers are also
7 significant bearing in mind that the water pass through the semi arid area where water and green
8 pastures during the dry season are scarce resources (Ngana, 2001 & 2002).
9

10 11 12 *Lake ecosystems*

13 Other ecosystems that could be impacted by climate changes include aquatic ecosystems, particularly
14 large lakes. For example, warming has been shown to have contributed to a rise in surface-water
15 temperature in Lake Tanganyika, which as in turn increased the stability of the water column. Also, a
16 regional decrease in wind velocity has contributed to reduced mixing, decreasing deep-water nutrient
17 upwelling and entrainment into surface waters. Carbon isotope records in sediment cores suggest that
18 primary productivity of the aquatic ecosystem of Lake Tanganyika may have decreased by about
19 20%, implying a roughly 30% decrease in fish yields (O'Reilly, et. al., 2003). Predicted future climate
20 change may further reduce the Lake's productivity.
21

22 *Desert ecosystems and land degradation*

23 Sand dune mobilization has also been shown to be linked to climate change. Regardless of the
24 emission scenario used, significantly enhanced dune activity is simulated in desert dune fields in the
25 southern Kalahari basin in the future. By 2099 all dune fields are highly dynamic from northern South
26 Africa to Angola and Zambia (e.g. Thomas, Knight and Wiggs, 2005). Future climate change may also
27 impact land degradation. For example, Meadows & Hoffman (2003) show that former "homeland
28 states" in South Africa are likely to become more susceptible to degradation under predicted climate
29 scenarios.
30

31 *Coastal and marine ecosystems*

32 The main coastal ecosystems in Africa are mangroves and coral reefs and chapter 6 describes their
33 main potential responses to climate change drivers. With climate change, endangered species
34 associated with these ecosystems, like manatees and marine turtles, could be at risk, along with
35 migratory birds (Government of Seychelles, 2000; Republic of Ghana, 2000; République
36 Démocratique du Congo, 2000). In other countries colonization of lagoons by mangroves is expected
37 due to a better connection with the ocean (République du Congo, 2001).
38

39 The important coral bleaching following the 1997-98 extreme El Niño event in the Indian Ocean and
40 the Red Sea is considered to be an indication of the potential impact of climate change induced ocean
41 warming on coral reefs (Lough, 2000; Muhando, 2001; Obura, 2001). Such events could increase the
42 number of people affected by intoxications (such as ciguatera) due to the consumption of marine
43 animals (Union des Comores, 2002). Losses in biodiversity (République de Djibouti, 2001) as well as
44 disappearance of low lying corals (Payet and Obura, 2004) are also expected. In the long term, all
45 these impacts will have negative effects on fisheries and tourism. In South Africa, changes in
46 estuaries are expected mainly due to reductions in rivers run off and to drowning or inundation of salt
47 marshes following sea level rise (Clark, 2006). The observed increase in the number of tropical
48 species in the Mngazana estuary is considered to be a potential indication of climate change (Mbande
49 *et al.*, 2005).
50

51 Any changes in primary production would propagate into the marine food web and, consequently,

1 will have impacts on the productivity of whole marine ecosystems (Hitz and Smith, 2004). These
2 changes could induce “regime shifts”, in which large marine ecosystems that are climate-dependent
3 change their state (at large spatial scales and at different trophic levels) over a 10–30 year period
4 (Caddy and Garibaldi, 2000), inducing changes in the dynamics of fish and other marine biota (de
5 Young *et al.*, 2004; Polovina, 2004). As pointed out by Cury and Shannon (2004), changes in
6 circulation, intensity of upwelling, availability of planktonic food (related to turbulence), temperature
7 and suitable habitat, are all factors playing possible roles during “regime shifts”. These “regime
8 shifts” could also be triggered by changes in fishing pressure, as well as ecological and behavioural
9 changes (Cury *et al.*, 2003). A noted expression of such regime shifts is the sustained dominance of
10 particular small pelagic fish species in the northern and southern Benguela ecosystems over periods
11 of 10–30 years (de Young *et al.*, 2004). In most upwelling regions, like the Benguela systems, where
12 anchovy and sardine co-exist, there has been alternation between regimes of high sardine and high
13 anchovy abundance. Recent colonization of the Canary islands by *Gnatholepis thompsoni* is
14 interpreted as an indication of ocean warming allowing the invasion of Indo-Pacific coral reef gobies
15 belonging to the same genus (Rocha *et al.*, 2005).

16
17

18 **9.4.6. Coastal zones**

19

20 As can be seen above, coastal zones are particularly sensitive to climate. In Africa, high productive
21 ecosystems (mangroves, estuaries, deltas, coral reefs) which constitute the basis for important
22 economic activities (tourism and fisheries) are located in the coastal zone. Forty percent of the
23 population of West Africa lives in coastal cities and it is expected that the coast between Accra and
24 the Niger delta (about 500 km) become a continuous urban megalopolis with more than 50 million
25 people by 2020 (Hewawasam, 2002). By 2015, coastal megacities with at least 8 million people will
26 be in Africa (Klein *et al.*, 2002). Projected rise in sea level will have significant impacts in these
27 coastal megacities which, due to the concentration of poor populations in potentially hazardous areas,
28 will be less resilient to climate change (Klein *et al.*, 2002). Combined with low GDP this will limit
29 the adaptive capacity and the level of protection of the coasts (Nicholls, 2004) which in turn will
30 constrain the future plans to develop tourism.

31

32 The first generation of vulnerability and adaptation studies only considered the direct impacts of sea
33 level rise, and few of them used socio-economic scenarios (Niang-Diop *et al.*, 2005). Land losses -
34 either due to coastal erosion or inundation - represent between less than 0.1 to 3% of the total area of
35 each country. In the Mediterranean coastal zone of Egypt and using SRES scenario, between 2,040
36 and 4,580 ha could be lost by the year 2100 due to sea level rise and land subsidence (Abd El Wahab,
37 2005). Salinization of soils, surface and ground waters is another important physical impact but, due
38 to lack of data, expertise or models, very few countries assessed these impacts (Frihy, 2003). In
39 Cameroon, it was estimated that by the year 2100 the length of the salt wedge in the Wouri estuary
40 would almost disappear with an increase of 15 % increase in rainfall. It substantially increase by
41 about 70 km in case of a 11% decrease in rainfall. In the Gulf of Guinea, sea level rise could induce
42 overtopping and even destruction of the low barrier beaches which limit the coastal lagoons while
43 changes in precipitation will affect the discharges of rivers feeding them. These changes will affect
44 the ecosystems (mangroves) as well as lagoonal fisheries and aquaculture (République de Côte
45 d’Ivoire, 2000). Indian Ocean islands will be threatened by potential changes in the location,
46 frequency and intensity of cyclones while East African coasts could be affected by potential changes
47 in the frequency and intensity of ENSO events and coral bleaching (Klein *et al.*, 2002).

48

49 A summary of the national communications to the UNFCCC indicates that coastal settlements in at
50 least 10 of Africa’s 32 coastal countries are at risk of partial or complete inundation due to
51 accelerated sea level rise. Africa’s coastal areas are very sensitive to erosion and tend to retreat at

1 variable rates. Coastal erosion or sea advance have led to changes in the layout of roads and
2 destruction of hotel facilities and houses in the residential region of Akpakpa in Benin (Niasse &
3 Afoudou 2003). The situation is far worse in the Gambia, where presently there has been
4 considerable damage to sea wall and other coastal protection infrastructure, shoreline retreat with
5 substantial threat to the country's major road networks, large scale boulder deposits on the beaches,
6 sinking of buildings, as well as the unearthing of sub structure installations including cemetery
7 contents. Here, it is projected that a 1m sea level rise will result in the complete submergence of
8 Banjul, the country's capital city, with land-loss costs totalling about \$217million (Gambia, 2003). In
9 Tunisia, two thirds of the population live in coastal areas and 90% of the country's tourism
10 infrastructures are situated along the coastline.

11
12 Population at risk in the coastal zones represents between 0.5 and 17% of the total population but
13 these are conservative values since population growth rates were generally not considered. Economic
14 values at risk are generally actual values but they always represent a high percentage of the national
15 GDP (between 5.8 and 542%) (Niang-Diop, 2005). Coastal agriculture (plantations of palm oil and
16 coconuts in Benin and Côte d'Ivoire, shallots in Ghana) could be at risk of inundation and soils
17 salinization. In Kenya, losses for three crops (mangoes, cashew nuts and coconuts) could attain 472.8
18 million US Dollars for a 1.0 m sea level rise (Republic of Kenya, 2002). In Guinea, it was estimated
19 that by 2050, depending on the inundation level considered (4.6 to 5.7 m), between 132.6 and 234
20 km² of rice fields (17 and 30% of the existing rice fields area) will be lost due to permanent flooding
21 (République de Guinée, 2002). In Eritrea, a 0.5-1.0 m rise in sea level will cause damage of about
22 \$256.83 million due to the submergence of infrastructure and other economic installations in
23 Massawa, one of the country's two port cities (State of Eritrea, 2001). These results confirm previous
24 studies stressing the high vulnerability of the socio-economic system of African coastal states due to
25 their low economic development. The less affected countries (Mauritius for example) are those with
26 a relatively high GDP.

27 28 29 **9.4.7 Tourism**

30
31 Climate change puts tourism at risk in two environments which are vital for tourism activities and
32 where tourism is an equally vital component in regional and local economies - coastal zones and
33 mountain regions. Important market changes could result (WTO, 2003) in such environments.
34 According to 2004 statistics, Europe earned a little over half of worldwide tourism receipts (52%),
35 the Americas 21%, Asia and the Pacific 20% and Africa and the Middle East 3% each (WTO, 2005).
36 These benefits may however change with climate change. Seaside tourism seems likely to suffer
37 damage from most of the effects of climate change, notably beach erosion, higher sea levels, greater
38 damage from sea surges and storms, mangrove vegetation degradation and reduced fresh water
39 supply (water pollution, cholera epidemics, FRITSCH, 2006). However, while some locations may
40 see a diminution of demand from the leisure traveller, others - currently less important as tourism
41 destinations - may see an increase.

42
43 WTO's forecasts contained in *2020 Vision* are the only long-term tourism predictions that exist. Over
44 that period, and in the longer term, the performance of the tourism sector will clearly be influenced
45 by social change, political developments, economic growth, environmental change and demographic
46 trends. Because there is no tourism forecast beyond 2020, no analysis has been done of the effect of
47 these various factors on tourism growth. In the context of climate change predictions for the whole
48 of the 21st century, forecasts to 2020 are clearly of limited use. Although scientific evidence is still
49 lacking, it is probable that flood risks and water-pollution related diseases in low lying regions
50 (coastal areas) and coral reefs bleaching could impact negatively on tourism (Barry and McLeman,
51 2004). African tourist places and sites, which are currently warm, may attract fewer tourists than

1 currently cool places: Climate change could, for example, lead to a poleward shift and a shift from
2 lowland to highland tourism (Hamilton and al, 2005).

3
4 Despite the current paucity of research on the impacts of tourism and climate change for Africa,
5 which could be substantial, available evidence shows some possible impacts:

- 6
7 - excessive heat that could lead to unsuitable conditions for tourism, especially in already warm
8 areas, such as North Africa, the Sahara and the Mediterranean Coast: an increase by 4-5
9 degrees Celsius on the Mediterranean coast (in A2 scenario for 2100) (GIEC 2001, p.69)
10 could discourage tourists from frequenting coastal resorts during the summer, the peak tourist
11 period (Hamilton et al, 2005);
- 12
13 - sea level rise could increase coastal erosion in Mediterranean popular mass tourism
14 destinations, and threaten tourist accommodation and facilities built along the shoreline.
15 Erosion is already reported in some areas of Tunisia. A simulation for the Rosetta area in
16 Egypt (El-Raey *et al.* 1997) for example, has shown, that for a sea level rise of 0,5 m by 2100,
17 32% of urban clusters and 52% of historical monuments would be lost;
- 18
19 - an increase in desertification could threaten already stressed oasis ecosystems and endanger
20 oasis tourism, currently developing in Morocco, Tunisia, Libya, Mauritania, and more
21 generally in the Saharan region (INRA 2005);
- 22
23 - other tourist attractions in parts of Africa could also be impacted. For example, melting snow
24 in areas such as Kilimanjaro (already observed), alteration of rainforest and reduced water
25 discharge of Victoria Falls (depending on climate change impacts on rainfalls), and changes
26 in wetlands such as the Okavango Delta (Watson *et al.*, 1997);

27
28 Wildlife watching, which is a basis for tourism and an important source of funding for nature
29 conservation, especially in Southern Africa, could be affected. Climate change could create an
30 additional stress to some already altered and fragmented ecosystems of Africa (populations of
31 elephants or Rhinoceros) and necessitate a new delineation of protected areas. Diminution of
32 grasslands replaced either by Savannah or by dry forests could impact on tourist destinations in
33 southern Africa. A fifth (e.g. 15 to 20%) of nature reserves could experience changes in their biomes
34 (refer section 9.4.5. above).

35 36 37 **9.4.8 Settlement and Infrastructure**

38
39 Climate change will impact infrastructure and settlements in Africa through sea level rise, shortage of
40 water resources, extreme events, food security, health risks and temperature related morbidity in
41 urban centres (Magadza, 2000). Gradual changes in weather and increasing variability of extreme
42 events will impact infrastructure throughout the continent (e.g. Mirza 2003; Freeman & Warner
43 2001) Marginal variations in climate are likely to cause substantial damages to infrastructure due to
44 coupling with other stressors like land cover change, localised population concentrations, and poor
45 quality infrastructure. The bigger threat of climate variability to infrastructure is expected from the
46 little characterised and unpredictable rapid-onset disasters like storm surges, flash floods and tropical
47 cyclones coupled with localised population concentrations (Freeman 2003), which are forecast in
48 various regional scenarios for Africa (Table 9.2)

49
50 *Precipitation and wind events:* More intense and variable precipitation events with increased mean
51 and peak precipitation intensities for tropical cyclones leading to increased runoffs and flash floods

1 **Table 9.2: Summary of Projected Impacts of Climate Change on Settlements and Infrastructure in**
 2 **Africa**

Climate event	Confidence	Surface effect	Regions affected	Impact on infrastructure
Increase in Temperature	High	Increased Surface temperature Soil and surface water evaporation Transpiration Snow cap melting	All regions Tanzania (Mt. Kilimanjaro), Morocco	Increase in urban heat islands Reduced water supply for irrigation and hydro-power reservoirs Soil (especially clay) shrinkage causing damage to building foundations and road networks Expansion of overhead power lines causing sagging, thus increasing risk of wind damage Increased demand for commercial energy for air-conditioning Misalignment of rail tracks
Erratic and variable Precipitation	High	Increased Flash floods Runoff Soil erosion Soil subsidence Aridity/drought Soil shrinkage Reduced ground water recharge	Benin, Gambia, Ghana, Malawi, Morocco, Nigeria, Tanzania, Uganda, Lesotho, Mauritius Mozambique, Seychelles Sahel Region, Lesotho, Botswana Morocco, Kenya	Destruction of building, communication, dam and civil works structural and non-structural components Unearthing of underground telecommunication, power and sewage lines Buckling of highways and misalignment of rail tracks Widespread water shortage to hydro power stations & irrigation reservoirs results in disruptions to power supply Water supply shortage for industrial and domestic water plants
Accelerated sea level rise (ASLR)	High	Increased Inundation Coastal erosion Shoreline retreat Higher water table runoff and flooding Storm/wave surge Land deformation due to extraneous deposits Ground and surface water salinization	Egypt, Djibouti, Eritrea, Gambia, Ghana, Benin, Nigeria, Mozambique, Mauritius Tunisia, Nigeria, Ghana, Togo, Benin, Gambia, Senegal, Tanzania, Seychelles,	Significant damage and complete loss of buildings, economic, tourist and civil infrastructure Temporary and permanent unavailability or road networks due to flooding by running sea water. Substantial damage to drainage, waste and sewage lines Destruction of coastline defence structures Direct adverse effects on the performance of sub-surface structures like building foundations due to soil subsidence Compromises the quality of water supply
ENSO events	High	Increased incidence of <ul style="list-style-type: none"> • Tropical storms, Torrential rainfall • Drought 	Mauritius, Malawi, Mozambique, Madagascar, Zimbabwe, Botswana, South Africa Malawi	<ul style="list-style-type: none"> • Substantial damage to building and engineering structures
Wind events	High	<ul style="list-style-type: none"> • Tropical cyclones • Thunderstorms • Hail Tidal waves	Malawi, Mozambique, Madagascar, Seychelles Nigeria, Morocco Lesotho, Mauritius	<ul style="list-style-type: none"> • Destruction of building elements, power lines and communications networks

3 *Source: Adapted from The different Initial National Communications to the UNFCCC, Freeman and*
 4 *Warner (2001), IPCC 2001 (Copyright to be obtained).*

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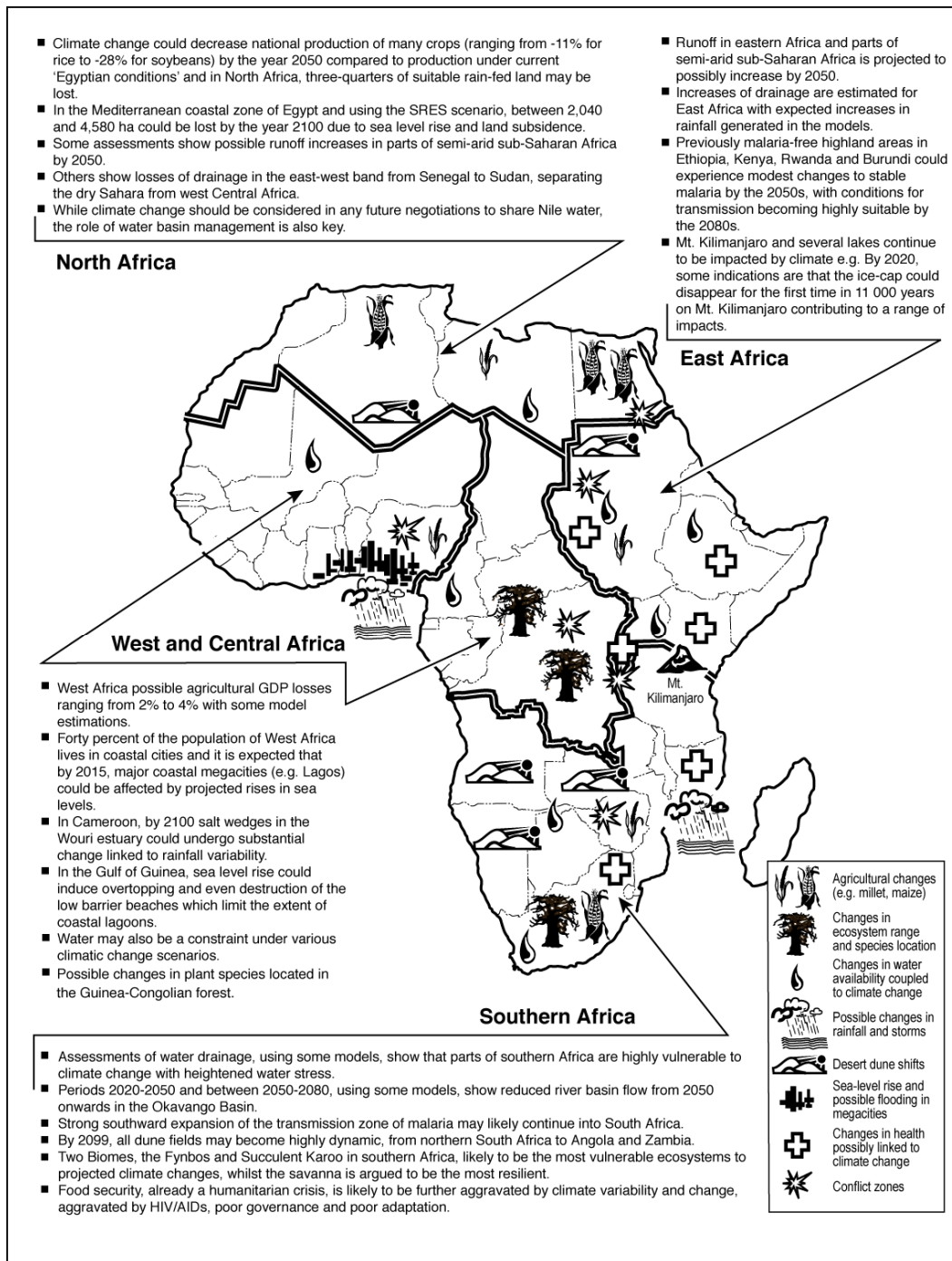


Fig. 9.5: Summary of Future Impacts linked to climate change as indicated by various scenarios and projections (Fig. Under construction, suggestions welcome)

are expected in areas within the continent (Denton *et al.* 2001). Freeman & Warner (2001) observe that for climate-related events, infrastructure is the dominant loss category with flooding and windstorms having the most widespread impacts on buildings, bridges, roads and water systems. In 1999, following torrential rains, devastating floods swept through the Kainji, Jebba and Shiroro dam floodgates causing heavy human and material losses (Niasse & Afoudou, 2003). At the same time, in Ghana, floods over the White Volta River region claimed lives and caused extensive damage to buildings (Niasse & Afoudou, 2003). All these followed similar events in 1998 with a 2001 repeat in the Komadugu-Yobe valley (Sahel region of Northern Nigeria) that resulted in the death of over 200 and displacement of over 35,000 people (Niasse & Afoudou, 2003). The well documented floods of

1 early 2000 in Mozambique with the high fatality rate and devastation of livelihoods, infrastructure
2 and settlements are perhaps the clearest indications yet of the trend, spread and scale of devastation
3 wrought by floods in Africa (e.g. Washington, Harrison and Conway, 2004). In Malawi, landslides
4 occur during prolonged torrential rains mainly in the southern parts of the country. In the 1992/1993
5 rainy seasons, landslides caused a loss of over 500 lives and severe damage to socio-economic
6 structures in Mulanje - Phalombe areas (Malawi, 2002).

7 *Increased warming, variable precipitation and water availability*

8 Increased drying trends, culminating in droughts and tropical peak wind speed intensities, are
9 expected over some parts of Africa (Freeman & Warner 2001; Malhi & Wright 2004). This drying
10 trend coupled with other socioeconomic factors like population growth, economic development and
11 rapid urbanization will exacerbate water scarcity in Africa (see water section above (9.4.1). Water
12 reduction and hydrological changes in some parts of Africa (e.g. southern Africa) may result in a
13 number of settlement implications. These may impact adversely on hydropower plants, irrigation
14 schemes, and industrial and domestic water supply. The concern for infrastructure and settlement
15 vulnerability to climate variability will grow as small changes in climate variability are expected to
16 cause large increases in infrastructure damage (Freeman & Warner 2001).

17
18
19 In summary, a range of possible impacts to climate change have been provided above. The roles of
20 some other stresses, that may compound these have also been included, although clearly these areas
21 require much further investigation. Despite the uncertainty of the science and the huge complexity of
22 the range of issues, initial assessments show that several regions may be impacted by climate change
23 (Fig. 9.8). Such impacts, it is argued here, may further constrain development and the attainment of
24 the MDGs in Africa. Adaptive capacity and adaptation thus emerge as critical areas for
25 consideration.

26 27 28 **9.5. Adaptation: practices, strategies and constraints**

29
30 The costs of impacts and adaptation to climate change and variability are additional demands being
31 placed on already over-burdened African countries, albeit strained by a range of stresses including
32 poor governance, land tensions, conflicts etc. Despite these problems, Africans are resilient to many
33 stresses and have demonstrated their adaptive capacity and adaptation in the face of climate risks in a
34 number of ways.

35 36 **9.5.1. Adaptive capacity practices**

37
38 Adaptive capacity is increasingly being shown to be linked to economic resources and wealth, both
39 in certain international contexts and at more local levels (e.g. Block and Webb, 2001; Ellis and
40 Mdoe, 2003). A range of factors including wealth, technology, education, information, skills,
41 infrastructure, access to resources and management capabilities and political could thus enhance
42 adaptive capacity (e.g. Brooks, Adger and Kelly, 2005). Adaptive capacity, is for example being
43 shown to be ‘successful and sustainable’ when linked to effective governance systems, civil and
44 political rights, and literacy (Brooks *et al.*, 2004).

45
46 Adaptive capacity and practices are, however, a part of a broad and expanding field (Table 9.3)
47 several themes emerging (e.g. social resilience, ecological resilience, economic resilience) that are
48 used to focus the discussion below.

1 **Table 9.3: Examples of strategies that may build resilience and enhance adaptive capacity.**

SOCIAL RESILIENCE		
Theme	Emerging characteristics of adaptation	Authors
Social Networks & Social Capital	<ul style="list-style-type: none"> Perceptions of risks by rural communities are important in shaping how a problem is perceived (e.g. climate risk). Such perceptions can shape the variety of adaptive actions taken. Networks of community groups are important ways to cope and adapt to times of climate and other stresses in Africa. E.g: Local savings schemes, many of them based on regular membership fees are useful financial ‘stores’ drawn down during times of stress. 	<ul style="list-style-type: none"> Quinn <i>et al.</i>, 2003 Block & Webb, 2001 Grothmann & Patt, 2005 Ellis & Bahiigwa, 2003
Institutions	<ul style="list-style-type: none"> Role & architecture of institutional design & function is critical to understand and better inform policies/measures for enhanced resilience to climate change Interventions linked to governance at various levels (state, region & local) either enhance or constrain adaptive capacity. <ul style="list-style-type: none"> Egs: 1) The Sahel – CILSS Grouping (Permanent Interstate Committee for the Fight against Drought in Sahelian countries founded in 1973)2) Kwa Zulu-Natal, South Africa, Kenya & Tanzania The role of policies & institutions in relation to adaptation is an important factor contributing to the sustainability of most coping strategies practised by local communities 	<ul style="list-style-type: none"> Batterbury and Warren, 2001 Osman, 2006 Ellis and Mdoe, 2003 Owuor et al, 2005 Reid and Vogel, 2006
ECONOMIC RESILIENCE		
Theme	Characteristics	Authors
Equity	<ul style="list-style-type: none"> Issues of equity need to be viewed on several scales <u>Local scale</u>: within & between community equity results in ‘winners & losers’ & widens existing inequity in a community or household <ul style="list-style-type: none"> Interventions to enhance community resilience can be hampered by inaccessibility of centres to obtain assistance (aid/finance). This results in the relatively well-off gaining access to such schemes, while further exacerbating & constraining the poor’s capacity to diversify. <u>Global scale</u>: Developing countries, should be recognised as possibly having to have ‘survival emissions’ necessary for the sustainable lives of people in developing countries as they proceed along development trajectories inevitably calling for future emissions growth <ul style="list-style-type: none"> The role of CDM’s & their impact on a range of factors require more detailed investigation. While possibly of benefit, these initiatives may place additional pressures on African governments already struggling to contribute to a range of pressures, not least negotiations on climate change. 	<ul style="list-style-type: none"> UNDP <i>et al.</i>, 2003; Beder, 2000 ADB, 2003 Vordzorgbe, 2002 Thomas & Twyman, 2005 Mortimore & Adams, 2001 Sonkona & Denton, 2001 Spalding – Fecher & Simmonds, 2005 Leach and Leach, 2004; Mushove & Vogel, 2005
Diversification of Livelihoods	<ul style="list-style-type: none"> Diversification has been shown to be a very strong and necessary economic strategy to increase resilience to stresses Eg: agricultural intensification based on increased livestock densities, the use of natural fertiliser, soil and water conservation, and crop and income diversification in Nigeria & Niger. Local markets have also played a crucial role in supporting agricultural innovation. There is a move in many African rural contexts toward off- or non-farm livelihood incomes The poor, however, often cannot diversify and have very limited diversification options available to them. Micro-financing & other social safety nets may enhance adaptation 	<ul style="list-style-type: none"> Toulmin <i>et al.</i>, 2000 Block & Webb, 2001 Ellis 2000 Mortimore & Adams, 2001 Nyong <i>et al.</i>, 2006 Bryceson, 2004 Ellis & Mdoe, 2003 Ellis, 2003 Chigwada, 2005

	if supported by long-term sustainable institutional arrangements.	
Technology	<ul style="list-style-type: none"> • Seasonal forecasts, their production, dissemination, uptake and integration in model-based decision-making support systems has been examined in several African contexts: <ul style="list-style-type: none"> - Constraints: the lack of limited support for climate risk management in agriculture, the lack of appropriate institutional structures and institutions for such products and the limited understanding of the cultural and local knowledge systems and practices already in use to manage climate risks and the wider constraints to agriculture and other livelihood activities. • Enhanced resilience to future periods of drought stress may also be supported by improvements in present rain-fed farming systems through: <ul style="list-style-type: none"> - water harvesting systems; dam building; water conservation and agricultural practices that can be used to increase resilience in times of drought stress; drip irrigation; development of drought resistant and early maturing crop varieties and alternative crop and hybrid varieties • In Africa, biotechnology research could yield tremendous benefits if it leads to drought- and pest-resistant rice, drought-tolerant maize and insect-resistant millet, sorghum and cassava among other crops • Multiple and flexible agricultural management tools and systems are also showing some positive results in enhancing agricultural yields 	<ul style="list-style-type: none"> • UNCTAD, 2003 • Vogel and O’Brien 2001; • Patt, 2001; • Phillips, Makuadze and Uganai, 2001 • Patt and Gwata, 2002; • Archer, 2003 • Roncoli, Ingram and Kirshen, 2001 • Ziervogel, 2004 • Ziervogel and Calder, 2003 • Ziervogel and Downing, 2004 • Ziervogel <i>et al.</i>, 2005 • Osman <i>et al.</i>, 2006 • Rockstrom, 2003 • Chigwada, 2005 • Seck <i>et al.</i>, 2005 • Orindi and Ochieng, 2005 • Matondo, Peter and Msibi, 2005 • Gandah <i>et al.</i>, 2000 • Monyo 2002 • Gabre-Madhin and Haggblade, 2004 • Hay <i>et al.</i>, 2003 • Malaney <i>et al.</i>, 2004 • Van Drunen, 2005 • ECA 2002 • WARDA Annual Report, 1999 • Abou Hadid, 2006 • Benjaminsen, 2001 • Brink <i>et al.</i>, 1998
Infrastructure	<ul style="list-style-type: none"> • Improvements in the physical infrastructure may improve adaptive capacity. • Eg: improved communication and road networks provide better access and improved exchange of knowledge and information. • Yet general deterioration in infrastructure threatens the supply of water during droughts • In the absence of any real infrastructural or institutional capacity, most people in sub-Saharan Africa will be at particular risk 	<ul style="list-style-type: none"> • Burton, 2001 • Sokona and Denton, 2001

(Source: adapted from initial categorization of Rockstrom, 2003, 871).

9.5.1.1 Social resilience

Social networks and social capital

Social networks and capital are emerging as key adaptive strategies but still require further articulation and understanding (see various examples Table 9.3).

Institutions

The role and architecture of institutional design and function is critical to understand, particularly to better inform policies and measures for enhanced resilience to climate change and to counter other factors e.g. reduce the impacts of poverty etc (Table 9.3). At the continent-wide level, CAADP framework (Comprehensive Africa Agriculture Development Programme), for example, may improve food security through improved sustainable land management efforts, market access being

1 increased etc (NEPAD, 2002). The role of institutions at more local scales, both formal and informal
2 insitutions, however, also need to be better understood (see examples Table 9.3).

3 4 9.5.1.2 Economic Resilience

5 6 *Equity*

7 Increasingly, assessments at the local scale (e.g. see examples Table 9.3) and at the global scale are
8 emerging as areas of increased attention (Leichenko & O'Brien, 2002). The issue of equity and
9 adaptation relates also to the critical factor of past emissions and responsibilities, producers and
10 polluters, who indeed should pay and the introduction of climate change mitigation mechanisms (e.g.
11 CDMs etc). Developing countries, it is argued (e.g. Sokona and Denton, 2001) should be recognised
12 as possibly having to have 'survival emissions' (Mwandosya Mark, 2000 cited in Sonkona and
13 Denton, 2001) necessary for the sustainable lives of people in developing countries as they proceed
14 along development trajectories inevitably calling for future emissions growth (Sonkona and Denton,
15 2001). The role of CDMS and development (see Spalding – Fecher and Simmonds, 2005) and their
16 impacts on a range of factors (e.g. sustainable development in Africa and local livelihoods, see for
17 example Leach and Leach, 2004) also requires more detailed investigation (e.g. the range of
18 institutional requirements that may be needed). While possibly of benefit, these initiatives may place
19 additional pressures on African governments already struggling to contribute to a range of pressures,
20 not least negotiations on climate change (Spalding-Fecher and Simmonds, 2005) and thus require
21 careful and 'creative thinking' particularly if they are to benefit local livelihoods and communities
22 dependant on 'carbon sink' areas for a range of livelihood and other multiple functions (e.g. Leach
23 and Leach, 2004; Mushove and Vogel, 2005).

24 25 *Diversification of livelihoods*

26 Diversification has been shown to be a very strong and necessary economic strategy to increase
27 resilience to stresses (see several examples Table 9.3). Diversification strategies, including income-
28 generation projects and selling of labour (e.g. migrating to earn an income (Nyong *et al.*, 2006) is
29 reflecting the move in many African rural contexts, toward off- or non-farm livelihood incomes (e.g.
30 Bryceson, 2004). The poor, however, often cannot diversify and have very limited diversification
31 options available to them (e.g. Block and Webb, 2001; Ellis and Mdoe, 2003). Micro-financing (e.g.
32 Chigwada, 2005) and other social safety nets, as a means to enhance adaptation to current and future
33 shocks and stresses, may also be successful if supported by local institutional arrangements on a
34 long-term sustainable basis (Ellis, 2003).

35 36 *Technology*

37 Many of the climate change adaptive strategies already identified directly or indirectly involve
38 technology (e.g. warning systems, crop varieties and hybrids, irrigation, settlement and relocation or
39 redesign of communities or infrastructure, flood control measures etc) (See several examples Table
40 9.3). The role of *seasonal forecasts, their production, dissemination, uptake and integration in*
41 *model-based decision-making* support systems has been examined in several African contexts
42 showing a number of possibilities and constraints to forecasts as adaptive tools (see examples Table
43 9.3).

44
45 Enhanced resilience to future periods of drought stress may also be supported by improvements in
46 present rain-fed farming systems (Rockstrom, 2003) e.g. water harvesting systems to supplement
47 irrigation practices in semi-arid farming systems ('more crop per drop strategies') (see several other
48 examples Table 9.3).

49
50 Recent advances in biotechnology are argued by many to be a possible source of safely harnessing and
51 producing foods that have greater yields, resist pests and diseases and offer other positive nutritional,

1 health and environmental attributes (Brink et al, 1998). In Africa, biotechnology research could yield
2 tremendous benefits if it leads to drought- and pest-resistant rice, drought-tolerant maize and insect-
3 resistant millet, sorghum and cassava among other crops (ECA 2002). In West Africa, for example,
4 rice is the main staple food for over 250 million people. New rice varieties- dubbed NERICA (NEW
5 RICE for Africa) and developed by the West Africa Rice Development Association (WARDA) - offer
6 hope for much higher yield (WARDA Annual Report, 1999). NERICA varieties mature 30-50 days
7 earlier than the current varieties thus evading unfavourable environmental conditions. In addition, the
8 varieties resist pests and drought which is important for farmers cultivating rain-fed rice. The new
9 varieties also grow better on infertile and acid soils, which account for 70% of West Africa's upland
10 rice fields. Another simulation study examined wheat grain yield cultivated under current and future
11 climate conditions (+1.5 °C and +3.6 °C) in Egypt, to elaborate a number of adaptation measures under
12 irrigated agriculture system (e.g. Abou Hadid, forthcoming, 2006).

13 14 *Infrastructure*

15 Improvements in the physical infrastructure may improve the adaptive capacity to periods of climate
16 stress e.g. improved communication and road networks to provide better access and improved
17 exchange of knowledge and information. The opposite is true, however, in most urban centres in
18 Africa, where the general deterioration in infrastructure threatens the supply of water during droughts
19 (Burton, 2001). Moreover, in the absence of any real infrastructural or institutional capacity, most
20 people in sub-Saharan Africa will be at particular risk (Sokona and Denton, 2001).

21 22 23 **9.5.2 Adaptation: costs, constraints and opportunities**

24 25 *Impact and Adaptation costs*

26 Although it is recognized that adaptation has a pivotal role in reducing the costs of climate change,
27 many studies pay little attention to it (DeCanio, 2000). As shown in this chapter, the African economy
28 is highly dependent on the health and sustainability of the natural resource, such as agriculture,
29 fisheries and forestry. Climate change will present new opportunities and challenges for each of these
30 sectors. This will lead to a range of economic impacts, and new investments in adaptation will be
31 required. At present, it is difficult to derive quantitative estimates of the potential costs of climate
32 change impacts and adaptation (Yohe & Schlesinger, 2002). Limitations are imposed by the lack of
33 agreement on preferred approaches and assumptions, limited data availability, and a variety of
34 uncertainties relating to such things as future changes in climate, social and economic conditions, and
35 the responses that will be made to address those changes.

36
37 Despite these problems some economic loss inventories and estimations have been undertaken. The
38 devastating floods, for example, in Mozambique, as result of tropical cyclones reduced the annual
39 growth in Mozambique from 8% to 2% (Washington, Harrison & Conway 2004). The drought, still
40 persisting at the time of writing this chapter, in East Africa, had previously in 2000, reduced Kenya's
41 hydroelectric power resulting in an US\$72 million emergency loan from the World Bank
42 (Washington, Harrison and Conway, 2004). In other cases the combined impacts of climate events
43 and other stresses has been marked. In Malawi, for example, a land-locked country with agriculture
44 making up almost half of the GDP (40 percent GDP in 2000), is one of the poorest countries and is
45 also cited as one of the most severely impacted by the scourge of HIV/AIDS. Benson and Clay
46 (2004, 14) list six factors that have increased the economic sensitivity of Malawi to shocks and stress
47 such as droughts and floods including: unsustainable agricultural practices; structural change in
48 agriculture; institutional weaknesses in agriculture; political instability; short-term variability in
49 external aid levels; and the effects of HIV/AIDS.

50
51 The costs of impacts and adaptation are heightened by compound and co-occurring stresses. Many

1 countries in Africa have been impacted and responded to compound disasters including droughts and
 2 floods in the past few years (e.g. Benson and Clay, 2004). Conflicts, HIV/AIDS, problems of
 3 governance and the legacies of structural adjustment and other ongoing problems e.g. (trade regimes
 4 debates) all, however, undermine capacity to respond to potential risks (e.g. Benson and Clay, 2004;
 5 Vogel and Smith, 2002).

6
 7 Attempts to quantify adaptation cost in Africa including the evaluation of a set of adaptation
 8 measures implemented in some African countries are cited in (Van Drunen, 2005). Examples from
 9 Egypt and Senegal are shown in Table 9.4 below:

10
 11 **Table 9.4: Examples of adaptation costs for Egypt and Senegal.**

	Potential risk	Cost without adaptation	Cost with adaptation
Impact of climate change on the low lying lands of northern fringes of the Delta in Egypt	5.4 million people would be at risk of loosing their homes to flooding in 0.5 m SLR scenario by 2050	US\$2.5 billion (at 1992 prices), in addition to a loss of up to 14% of GNP	The cost of protection (adaptation measures) was estimated at 5-10% of GNP
Sand dune encroachment in Senegal	area at risk equal to 948 km ² (.5% of the total area of the country	risk without adaptation goes up to US\$ 490 million in 2050	cost of protecting and replanting the dune areas was estimated at US\$250 for 40 CM SLR in 2050 with 3% discount rate

12 *Adapted from (Van Drunen, 2005).*

15 *Opportunities and constraints*

16 The design of proactive rather than reactive strategies can also enhance adaptation. Pro-active, *ex-*
 17 *ante* interventions, for example in drought interventions [e.g. agricultural capital stock and extension
 18 advice in Zimbabwe (Owens, Hoddinott & Kinsey, 2003)] can raise household welfare and heighten
 19 resilience in non-drought years. Capital and extension services can increase net crop incomes without
 20 crowding out net private transfers. Re-allocating funds from an *ex-post* response to shocks can reduce
 21 poverty and increases income in non-drought years and the build up of stocks. Other factors that
 22 could be investigated to enhance resilience to shocks, including climate change and variability,
 23 include: national grain reserves, grain futures markets, weather insurance, the role of food price
 24 subsidies, cash transfers, school feeding schemes etc (for detailed discussion on these Devereux,
 25 2003).

26
 27 Improved Early Warning systems may also be used to reduce vulnerability to future risks associated
 28 with climate variability and change. In malaria research, some show that while epidemics in the
 29 highlands have been associated with positive anomalies in temperature and rainfall (Githeko &
 30 Ndegwa 2001), those in the semi arid areas are mainly associated with excessive rainfall (Thomson *et*
 31 *al.*, 2006). Using such information it has been argued that climate driven malaria epidemics can be
 32 predicted with lead times of 2-6 months before the onset of the event. Such lead times provide
 33 opportunities for putting interventions in place in good time to prevent excessive morbidity and
 34 mortality.

35
 36 With regard to energy future consumers could switch from gas, oil and other fuels to electricity as the
 37 climate warms, and that the overall energy (and especially electricity) demand increases. Alternatives
 38 to non-sustainable use of biomass and fossil fuels without threat to the energy security of the region
 39 include the introduction of alternatives such as wind, solar PV/PT, biogas, and other sustainable use
 40 of biomass. These could be used to develop an integrated energy system for the sub-region under
 41 frameworks such as the New Partnership for Africa's Development (NEPAD). Additional options to

1 increase efficiency in consumption sectors may need to be explored by countries in the region so that
2 the gross per capita consumption will be stabilized even with increase in consumption patterns.
3 Countries in the region would also need to improve human and institutional capacity to develop
4 existing and new energy technologies locally (Mansur *et al.*, 2005).

5
6 Despite some success stories cited above there is also evidence of an erosion of coping and adaptive
7 strategies as a result of varying land use changes as well as socio-political and cultural stresses in
8 some cases. Such losses in adaptive capacity can trigger agrarian crises and may occur in the future.
9 In areas where there was originally shifting cultivation and livestock movement, for example,
10 permanent settlements have become dominant and the vegetation cover has become reduced,
11 resulting in the loss of long fallows to replenish soils. Continuous cultivation, at the expense of soil
12 replenishment, can result in real ‘agrarian dramas’ (e.g. Rockstrom, 2003). The interaction of both
13 social and biophysical stresses thus combine to lead to critical stress periods. In Southern Africa, a
14 potentially large disaster and humanitarian crisis is caused “...not by a very serious drought, but
15 rather a small environmental shock, acting on extremely vulnerable societies” (Rockstrom, 2003).

16
17 In many communities in Africa, traditional ways are also being replaced by dominating modern
18 economic interests, often increasing vulnerability and exposure to hazards and weakening coping
19 capacities. Traditional coping strategies may not be sufficient in this context and will lead the poor to
20 rely on ad-hoc and unsustainable responses. This not only reduces resilience to the next climatic
21 shock but also to the full range of shocks and stresses that the poor are exposed to (DFID, 2004).
22 Moreover, in most national meteorological services, the application of climatic knowledge in
23 agriculture, health, water and other sectors is a low priority activity, poorly staffed and weakly
24 focused (Williams, 2005).

25 26 *Adaptation opportunity –mainstreaming adaptation into development policies*

27 As shown in several cases in this chapter, the low adaptive capacity of Africa is due in large part to
28 the extreme poverty of many Africans, frequent natural disasters such as droughts and floods and
29 agriculture heavily dependent on rainfall as well as a range of macro and micro-structural problems
30 (e.g. impacts of structural adjustment policies, neo-liberalisation, problems of local governance etc).
31 The implications of climate change on development are not fully understood. Factors heightening
32 vulnerability to climate change and affecting national level adaptation have, for example, been shown
33 to include issues of governance, civil and political rights and literacy (e.g. Brookes, Adger and Kelly,
34 2005). The most vulnerable nations in this assessment (using climate outcomes represented by
35 mortality from climate-related disasters as an indication of climate outcomes), were those situated in
36 sub-Saharan Africa and those that have recently experienced conflict. Reductions in mortality it is
37 suggested may be achieved through increasing government effectiveness and accountability, civil
38 and political rights and literacy (Brookes, Adger and Kelly, 2005).

39
40 Considering that climate change is evident Africa, needs to focus on increasing adaptive capacity
41 over the long term, *ad hoc* responses (short-term responses, uncoordinated processes, isolated
42 projects, etc.) are, however, not a solution. One of the solutions that could considered is to
43 mainstream adaptation into national development processes (Huq and Reid, 2005; Dougherty and
44 Osman, 2005) as well as integrate adaptation into all relevant strategies, policies, programs and
45 projects. Such options should not, however, be seen as an abdication of developed countries of their
46 responsibilities in the wider climate change agenda.

47
48 There may, for example, be several opportunities of linking disaster risk reduction, poverty and
49 development. Where communities live with various risks, risk-reduction and development can
50 possibly become reinforcing strategies (e.g. Yahmin, Rahman and Huq, 2005). There are early signs
51 of relative success in southern Africa and Ethiopia, with unprecedented efforts by humanitarian and

1 development agencies to collaborate to find ways to move away from reliance on short-term
2 emergency responses to food insecurity to longer-term development-oriented strategies that involve
3 closer partnerships with governments (see Food security case study below and SARPN,
4 www.sarpn.org for several case studies and examples), possible adaptation options already suggested
5 (e.g. Mirza, 2003; Yahmin, Rahman and Huq, 2005 etc). In addition, issues of mitigation, and the
6 range of trade-offs, including those linked to energy usage, and mitigation options (e.g. the design of
7 possible equitable mitigation commitments, see for example, Beg *et al.*, 2002; Adger *et al.*, 2003)
8 cannot be ignored.

9
10 The recent formulation of various Poverty Reduction Strategies Papers (PRSPs), could also be used
11 as vehicles to help mainstreaming process, using a combination of ‘Top Down’ and ‘Bottom up’
12 approaches. According to the World Bank Environment Strategy “integrating environmental
13 considerations into the new Poverty Reduction Strategy Papers” is an urgent task (World Bank,
14 2000). Moreover, many African countries have also formulated National Biodiversity Strategies and
15 Action plans (NBSAP) and National Action Plans to combat desertification (NAPs) in accordance
16 with the United Nations Conventions on those issues. In addition to that, many LDCs are in the
17 process of formulating their National Adaptation Plans of Action (NAPAs). Mainstreaming adaptation
18 to climate change requires: integrating NAPAs into national sustainable development strategies;
19 translating information from the scientific research sector into accessible – and politician-friendly –
20 language; involving the general public (especially marginalized groups in remote regions) in
21 providing the widest possible stakeholder input into NAPAs; facilitating a greater role for LDCs in
22 the Global Environment Facility, particularly on funding issues; sharing the results of NAPAs with
23 other LDCs, both regionally and globally and initiate dialogue between LDCs; and bilateral funding
24 agencies to ensure that adaptation to climate change is central to development funding (Huq *et al.*
25 2003).

26
27 Notwithstanding these efforts and suggestions, the context and the realities of the causes of
28 vulnerability to a range of stresses, not least climate change and variability, must be kept centre
29 stage. “Uncovering the structural causes of poverty at international, national and local levels must be
30 centre-stage in formulation of poverty-alleviating strategies” (Bryceson, 2004, 623). Despite some
31 optimistic views that some ‘... are likely to absorb and build on ideas that are now flowing in from
32 the outside (in this case the Sahelian region, e.g. Batterbury and Warren, 2001), others (e.g.
33 Bryceson, 2004) caution that various notions of rural capacity and efforts to enhance sustainable
34 livelihoods in Africa cannot ignore the impact of neo-liberal policies and current world market
35 conditions that leave avenues for ‘achieving poverty reduction disturbingly vague’ (Bryceson, 2004,
36 632). The causes, impacts and legacies of various strategies including liberalisation policies, decades
37 of SAP structural adjustment programmes and market conditions cannot be ignored in discussions on
38 poverty alleviation and adaptation to stresses (including climate change), areas that require much
39 more intensive investigation.

40 41 42 **9.6 Case Studies**

43 44 **9.6.1 Food insecurity in Africa**

45
46 Although the extent and nature of climate change impact on food production are yet uncertain, it has
47 long been recognised that climate variability and change have an impact on food production, as
48 outlined above (e.g. Mendelsohn *et al.* , 2000a and b; Fischer *et al.* , 2002; see also Kurukulasuriya
49 and Rosenthal, 2003; Devereux and Maxwell, 2003; IRI, 2004). Food security is, however, no longer
50 defined solely in terms of the food-production components of food systems (Gregory, Ingram and
51 Brklacich, 2005). Broadly speaking, food security is less seen as *sufficient global and national*

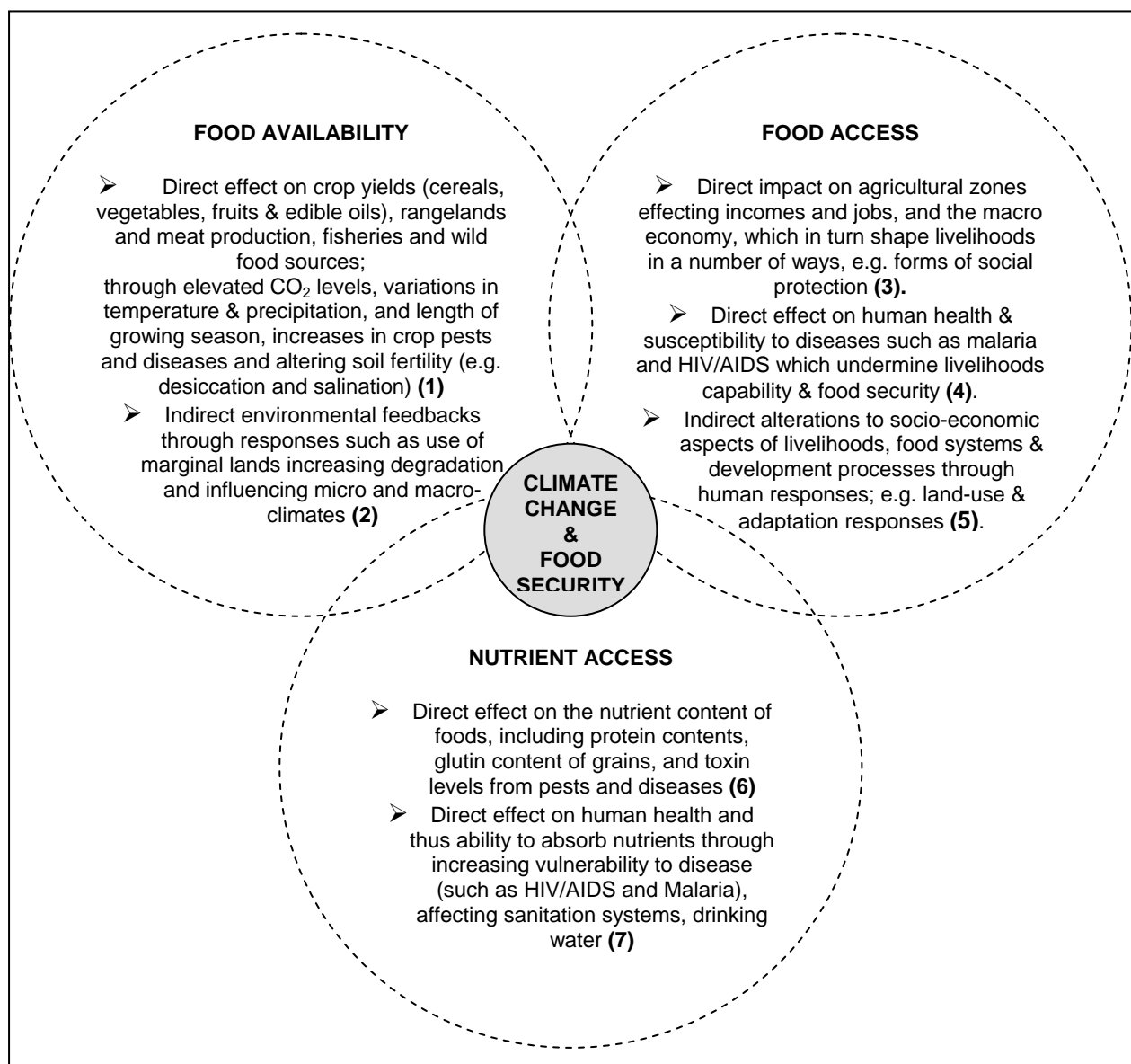
1 agricultural food production, than as livelihoods that are sufficient to provide enough food for
2 individuals and households (Maxwell, 2001; Devereux and Maxwell 2003; Devereux, 2004). The
3 key recognition in this shifting focus is that there are multiple factors, at all scales, that impact on an
4 individual or household's ability to *access* sufficient food, such as household income, human health,
5 government policy, conflict, globalisation, and market failures (HSRC, 2003; Misselhorn, 2004;
6 FIVIMS SA, 2005; Marsland, 2004; Gommès *et al.*, 2004; SARP, 2004; Maxwell and Devereux,
7 2003). In a review of famines over a number of decades Devereux (2000), for example, shows that
8 the causality of severe periods of food insecurity including famines were characterised by two
9 significant shifts: first, they were more complex than before, being precipitated by a number of causes
10 and second, during the latter part of the century, the locus of food insecurity has shifted to sub-
11 Saharan Africa "...where interactions between drought and civil war, in particular, became the
12 dominant causal trigger of famine" (Devereux, 2000, 2001)

13
14 Building on the recognition that there are numerous factors affecting food access, three principle
15 components of people's food security may be identified: the *availability* of food (through the market
16 and through own production); adequate purchasing and/or relational power to acquire or *access* food;
17 and the acquisition of sufficient *nutrients* from food acquired, which is influenced by the ability to
18 digest and absorb nutrients due to human health, access to safe drinking water, environmental
19 hygiene and the food nutritional content itself (Swaminathan, 2000; Hugon and Nanterre 2003;
20 Young, 2001; Gommès *et al.*, 2004). The importance of this more nuanced understanding of food
21 security in relation to climate change is that climate change may, either directly or indirectly,
22 profoundly impact on all three of these components in shaping food security (Fig. 9.6).

23
24 The potential impacts of climate change on *food access* in Fig. 9.6. may, for example, be better
25 understood in the light of changes in Africa's livelihoods landscape, in which a trajectory of
26 diversification out of agricultural-based activities - 'deagrarianisation' - has been found in the
27 livelihoods of rural people in many parts of sub-Saharan Africa (Bryceson, 2002; 2004). The
28 assumption that people's food security in Africa derives solely (or even primarily) from agricultural
29 production is thus now a contested area (Adger and Vincent, 2005). At the same time, for the
30 continent as a whole, the agriculture sector, which is highly dependent on precipitation, is estimated
31 to account for approximately 60 per cent of total employment, indicating its crucial role in
32 livelihoods and food security derived through food access (The Royal Society 2005). A further
33 illustrative climate-change impact on livelihoods is its possible negative impacts on the tourism
34 sector, caused by temperature, precipitation and sea-level changes which in turn will have an impact
35 on people's livelihoods and ability to secure food (e.g. Hamilton, Maddison and Tol, 2005).

36
37 The key issues, therefore, in relation to the potential impacts of climate change on food security in
38 Africa encompass not only a narrow understanding of the impacts of climate change on food
39 production, but a wider understanding of how climate change might interact with other
40 environmental, social, economic and political factors that determine the vulnerability of households,
41 communities and countries, as well as their capacity to adapt (Swaminathan, 2000; Brookes, Adger
42 and Kelly, 2005; Adger and Vincent, 2005); the impact of climate change on food security cannot be
43 considered independently of the broader issue of human security (O'Brien, 2006). The inclusion of
44 climate change in understanding human vulnerability and adaptation is being increasingly explored at
45 the household and community levels, as well as through regional agro-climatological studies in
46 Africa, and a number of studies have been undertaken that show that resource poor farmers and
47 communities use a variety of coping and adaptive mechanisms to ensure food security and
48 sustainable livelihoods in the face of climate change and variability. Scenario analyses of climate
49 change in the West African Sahel, for example, suggest an increasingly high-risk environment for
50 agriculture, including a southward shift of arid and semi-arid zones, a shift in the onset of the
51 growing season, and lower yields (Verhagen, Dietz and Rubin, 2001). The impact on food security,

1 however, is mediated by farmer's adaptation capacity and choices which are based on a variety of
 2 driving or causal mechanisms, including previous climate experiences which given impetus to new
 3 response strategies which have enhanced their capacity to adapt to future events (Verhagen, Dietz
 4 and Rubin, 2001). Livelihood diversification, a key coping and adaptive mechanism to periods of
 5 changing rainfall and other pressures, has been found to be further enabled in the Sahel with
 6 increased mobility as people become more urbanised (Mortimore and Adams, 2001). The importance
 7 of an integrated 'systems' view of linkages between climate change, food security and livelihoods is
 8 further highlighted in research in Southern Mali, in which Abdulai and CroleRees (2001) show
 9 results resonating with other investigations (e.g. Ellis, 2003 and others), that poorer households some
 10



11
 12
 13 **Fig. 9.6:** Linkages identified between climate change in Africa and three major components of food
 14 security. [References: (1) Swaminathan, 2000; Turpie et al., 2002; Fischer, Shah and Velthuizen, 2002;
 15 Rosegrant and Cline, 2003; AIACC, 2004; The Royal Society, 2005. (2) Fischer, Shah and Velthuizen, 2002;
 16 The Royal Society, 2005. (3) Turpie et al., 2002; African Union, 2006. (4) Piot and Pinstrup-Anderson, 2002;
 17 Turpie et al., 2002; Mano, Isaacson and Dardel, 2003; USAID, 2003; Gommès et al., 2004; Van Lieshout et
 18 al., 2004. (5) AIACC, 2004; Brooks, Adger and Kelly, (in press); Adger and Vincent, 2005; Gregory, Ingram
 19 and Brklacich, 2005; Thomas and Twyman, 2005; O'Brien, 2006. (6) The Royal Society, 2005. (7)
 20 Swaminathan, 2000; Gommès et al., 2004; Schulze, Meigh and Horan, 2001.]
 21

1 distance from local markets have fewer opportunities in non-cropping activities than their
2 counterparts closer to local markets. Research elsewhere in the world indicates that elements of
3 social capital (such as associations, networks and levels of trust) are important determinants of social
4 vulnerability to climate change, but how these develop and are used in mitigating vulnerability
5 remain unclear (Adger, 1999).

6
7 While exploring the local level dynamics of people’s vulnerability to climate change - of which
8 adaptive capacity is a key component - is critical in developing an understanding of the future of food
9 security in Africa, such understandings cannot simply be aggregated to reflect national or regional
10 vulnerability (Brookes, Adger and Kelly, in press). A number of recent studies are beginning to
11 probe the enormous challenges of developing scenarios of adaptive capacity at multiple scales, and a
12 complex range of factors, including behavioural economics (Grothmann and Patt, 2005), national
13 aspirations and socio-political goals (Haddad, 2005), governance, civil and political rights and
14 literacy (Brookes, Adger and Kelly, in press), economic well-being and stability, demographic
15 structure, global interconnectivity, institutional stability and well-being, and natural resource
16 dependence (Adger and Vincent, 2005), are all emerging as powerful determinants of vulnerability
17 and the capacity to adapt to climate change. Such determinants permeate through food ‘systems’ to
18 impact on food security at various levels. Attainment of the Millennium Development Goals,
19 including the first goal which is to eradicate extreme poverty and hunger, in the face of climate
20 change will therefore require science that specifically considers food insecurity as an integral element
21 of human vulnerability within the context of complex social, economic, political and biophysical
22 systems, and that is able to offer usable findings for decision makers at all scales.

23 24 25 **9.6.2 Drought in the Sahel**

26
27 The semi-arid Sahel, constituting the transition zone between the arid Sahara and humid tropical
28 Africa, has exhibited considerable rainfall variability on both inter-annual and decadal timescales
29 throughout the twentieth century, while paleo-environmental and historical data also indicate
30 significant rainfall variability on centennial and millennial scales (Brookes, 2004). A number of
31 historical sources record periods of serious drought; Tarhule and Woo (1997) concluded that rainfall
32 deficits of more than 1.3 standard deviations from the local long-term mean are generally associated
33 with serious societal impacts. The consequent socio-economic challenges have attracted diverse
34 international interest groups emphasizing the need to understand the complex causes of droughts in
35 the Sahel (Batterbury and Warren, 2001; Foley *et al.*, 2003; Dia *et al.*, 2004).

36
37 The droughts of the 1970s and 1980s were embedded within a process of regional desiccation which
38 many authors blamed on the systematic abuse of the Sahelian environment by its inhabitants
39 (Brookes, 2004). More recent paradigms on drought in the Sahel have focused on indications that
40 the environmental histories of the Sahara and Sahel have been characterized by sudden, abrupt
41 changes, including several dramatic regime shifts that occurred with no apparent warning (Foley *et al.*,
42 2003; Lioubimtseva and Adams, 2004). Emerging hypotheses on the current Sahel climate
43 regime include the role of changing SSTs, land cover changes and land degradation that act as a
44 trigger for climate transition, while the role of vegetation–atmosphere feedbacks is to reinforce the
45 impact of the trigger during the transition process. These feedbacks then act to maintain the new
46 climate system and this will go on until drivers push the system into the transition zone for an
47 alternative regime to take over (Foley *et al.*, 2003). This hypothesis needs more work to prove,
48 particularly how the land surface and atmosphere are coupled.

49 50 *Living with climate change and variability in the Sahel*

51 The Sahel provides us with examples of both recent climate changes, complex interacting drivers of

1 change and of cases of coping and adaptation to change. The Sahelian environment is, however
2 exceedingly complex, with changes being driven, both in the past and the present, by a host of
3 interacting stressors. The 1950s and 1960s were characterised by high rainfall, and saw an
4 expansion of farming into previously marginal areas that proved unviable for agriculture in the
5 longer term. This expansion of agriculture also served to push nomadic populations into historically
6 more marginal areas, making both farmers and herders more vulnerable to drought and increasing the
7 likelihood of conflict between these groups (Thébaud and Batterby, 2001). By the early 1970s
8 rainfall had declined dramatically, and severe droughts in 1972-73 and 1983-84 were associated with
9 widespread human mortality, loss of animal stocks, and the destruction of livelihoods, particularly in
10 the pastoral sector. Drought was one of a number of factors that led to conflict between mobile and
11 sedentary populations in some Sahelian countries (Fiki and Lee, 2004).

12
13 Farmers and pastoralists have developed a variety of coping and adaptation mechanisms to live in
14 such a harsh environment. Some farmers, for example, in northern Nigeria and Niger have adapted
15 successfully to both increased aridity and economic liberalisation through agricultural intensification
16 based on increased livestock densities, the use of natural fertiliser, soil and water conservation, and
17 crop and income diversification (Mortimore and Adams, 2001). Local markets have also played a
18 crucial role in supporting agricultural innovation. Wage labour, often associated with seasonal
19 migration, provides a source of income for smaller households in times of stress, and larger farms
20 have benefited from the labour of those who have lost their livelihoods to drought. This represents a
21 process of increasing social and economic stratification as those who lost their livelihoods have in
22 some cases become dependent on a few key employers. The Sahelian droughts of the late twentieth
23 century, and the human responses to them, illustrate how adaptation tends to often occur reactively
24 after massive societal disruption has already occurred (Brookes, 2004). This process also transforms
25 the nature of societies and communities and thus requires greater understanding of the complex
26 drivers of change in the region.

27 28 *Climatic future of the Sahel*

29 The climatic future of the Sahel remains uncertain. Since 2001, a number of published studies have
30 suggested that increases in atmospheric CO₂ will lead to an enhanced West African Monsoon, wetter
31 conditions in parts of the Sahel, and an expansion of vegetation into the Sahara (Brovkin, 2002;
32 Claussen *et al.*, 2003; Maynard *et al.*, 2002). A study by Wang and Eltahir (2002) suggests that the
33 Sahelian “biosphere-atmosphere system ... is more resilient to drought-inducing external forcing” at
34 350 than 300 ppm of CO₂ and they forecast more prolonged humid periods and shorter dry episodes
35 in the Sahel in the future. While a wetter Sahel might improve the prospects for agriculture and
36 pastoralism, such a change would also be associated with risks. For instance, heavy rains in the 1950s
37 led to crop failure and famine (Grolle, 1997), while in recent years wet conditions have allowed
38 locust swarms to flourish in the Sahel, increasing food insecurity (FAO, 2004). Wetter conditions
39 would also be associated with an increased incidence of flash flooding, and might also affect the
40 distribution of water-borne diseases transmitted via surface water or the movement of human and
41 animal populations.

42
43 While anthropogenic global warming may lead to a more robust rainfall regime in the short term, a
44 continued rise in CO₂ concentrations may cause an increase in regional aridity in the latter part of the
45 twentieth century. Wang and Eltahir (2002) suggest that vegetation changes associated with a shift to
46 a wetter and more robust rainfall regime are partly the result of an enhanced CO₂ fertilisation effect
47 which is unlikely to be sustained at higher CO₂ concentrations. Furthermore, a modelling study by
48 Mitchell *et al.* (2000) suggests that stabilising atmospheric CO₂ concentrations at 550 and 750 ppm
49 by the end of the twenty first century will result in a warming of the southern hemisphere oceans and
50 northern Indian Ocean relative to the remaining northern hemisphere oceans, a pattern that is
51 associated with dry conditions in the Sahel.

1
2 The response of the African Monsoon to increased CO₂ concentrations is thus likely to be highly
3 non-linear, and the Sahel is likely to continue to experience a high degree of climatic variability on a
4 range of timescales for the foreseeable future. Sahelian societies may be confronted by hazards
5 associated with both increased and decreased rainfall over the course of the twenty first century. Any
6 strategies to exploit newly productive areas resulting from increased rainfall must consider the
7 prospects of a return to aridity, and avoid the mistakes of the 1950s and 1960s which led to an
8 exacerbation of vulnerability.

9 10 11 **9.6.3 Indigenous Knowledge Systems**

12 *Concept of indigenous knowledge*

13 Until recently, the indigenous knowledge of local communities has not been considered in formal
14 planning and development initiatives. Indigenous knowledge has been defined as institutionalized
15 local knowledge that has been built upon and passed on from one generation to the other by word of
16 mouth. The term indigenous knowledge is best used to distinguish between knowledge systems
17 developed by a community and scientific knowledge system which are generally referred to as
18 ‘western’ or “modern’ knowledge (Ajibade, 2003). It is the basis for local-level decision-making in
19 many rural communities. Indigenous knowledge has value not only for the culture in which it
20 evolves, but also for scientists and planners striving to improve conditions in rural localities.

21
22
23 In recent times, the importance of indigenous knowledge has been realized in the design and
24 implementation of sustainable development projects. However, little has been done to incorporate
25 this into formal climate change mitigation and adaptation strategies. Climate change cannot be
26 divorced from sustainable development as sustainable development may be the most effective way to
27 frame the mitigation question and a crucial dimension of climate change adaptation and impacts
28 (Swart *et al.* 2003). Incorporating indigenous knowledge into climate change policies can lead to the
29 development of effective adaptation strategies that are cost-effective, participatory, and sustainable
30 (Robinson and Herbert 2001). This case study highlights examples of indigenous knowledge related
31 to climate variability and change in Africa.

32 *Indigenous Knowledge in Reducing Vulnerability to climate variability and change*

33 Local communities and farmers in Africa have developed intricate systems of gathering, predicting,
34 interpreting and decision-making in relation to weather. A study in Nigeria (Ajibade and Shokemi,
35 2003) identified five weather systems, which local communities and farmers were capable of
36 forecasting using accumulated experiences. These include rainfall, thunderstorm, windstorm,
37 harmattan and sunshine. The occurrence of some of these as observed could also be modified
38 (induced or prevented). The study concluded that indigenous methods of weather forecasting could
39 compliment rather than contradict western-based methods. A similar study in Burkin Faso showed
40 that farmers’ forecasting knowledge encompasses shared and selective repertoires. Experienced
41 (mostly elderly male) farmers formulate hypotheses about seasonal rainfall by observing natural
42 phenomena, while cultural and ritual specialists draw predictions from divination, visions, or dreams
43 (Roncoli *et al.*, 2001). The most widely relied upon indicators are the timing, intensity, and duration
44 of cold temperatures during the early part of the dry season (November–January). Other forecasting
45 indicators include the production of fruit by certain local trees, the water level in streams and ponds,
46 the nesting of small quail-like birds, insect behaviour in rubbish heaps outside compound walls. After
47 exploring ways in which local knowledge converges with western scientific knowledge and how they
48 diverge, the study concludes that western scientific knowledge, the study calls for the integration of
49 both knowledge systems in the provision of information to improve the local production system and
50 encourage sustainable development. To a great extent, these systems of climate forecasts have been
51

1 very helpful to local farmers and pastoralists in managing their vulnerability. Farmers are known to
2 make decisions on cropping patterns based on local predictions of climate, and decisions on planting
3 dates, based on complex cultural models of weather.

4 *Indigenous knowledge in Adaptation*

5 Lack of adaptive capacity is often cited as one of the constraints to reducing Africa's vulnerability to
6 climate change. Be that as it may, one should not assume a vacuum in knowledge regarding
7 adaptation. African communities and farmers have always coped with changing environments. They
8 do not only have knowledge about practices, they also have knowledge of how to adapt to adverse
9 environments and shocks. The enhancement of indigenous capacity is a key to the empowerment of
10 local communities and their effective participation in the development process (Leautier, 2004).
11 People are better able to adopt new ideas when they can be seen in the context of existing practices
12 and ways of doing. Several projects have demonstrated that capacity building that considers the
13 perspectives of local communities and builds on existing capabilities (available knowledge and
14 institutions) helps create increased ownership, sustainability, and relevance of capacity enhancing
15 measures.
16

17
18 Local farmers in several parts of Africa have been known to conserve carbon in soils through the use
19 of zero tilling practices in cultivation, mulching and other soil management techniques (Osunade
20 1994). Natural mulches moderate soil temperatures and extremes, suppress diseases and harmful
21 pests, and conserve soil moisture. Before the advent of chemical fertilizers, local farmers largely
22 depended on organic farming, which can also reduce greenhouse gas emissions. The use of natural
23 plants as agrochemicals have also been reported (Gana, 2003). The study found wide-spread use
24 among small-scale farmers of indigenous plant materials to combat pests that normally attack their
25 food crops. Because plant materials are natural, they have been found to be non-toxic, readily
26 available, and less expensive compared to synthetic and very often poisonous substances found in the
27 open markets. Other indigenous strategies that are adopted by local farmers include controlled bush
28 clearing, revegetating bunds or using tall grass such as *Andropogon gayanus* for fixing soil surface
29 nutrients washed off by runoffs, mulching, using erosion control bounding, namely contour stone
30 bund and tree-trunks to reduce significantly the effects of runoffs, restoring lands by using green
31 manure, constructing stone dikes, managing Low-lands and protecting river banks (AGRHYMET,
32 2004).
33

34 Adaptation strategies that are applied among the pastoralists include the use of emergency fodder in
35 times of droughts, multi-species composition of herds to survive climate extremes, and culling of
36 weak livestock for food during periods of drought. During drought periods, pastoralists and agro-
37 pastoralists change from cattle to sheep and goat husbandry as the feed requirements of the later is
38 less than the former (Oba 1997). Pastoralists' nomadic mobility reduces the pressure on low carrying
39 capacity grazing areas through the circular movement from the dry northern areas to the wetter
40 southern areas of the Sahel. This system of seasonal movement represents a local type of traditional
41 ranching management system of range resources.
42

43 African women are particularly known to possess indigenous knowledge to maintain household food
44 security particularly in times of droughts and famine. They often rely on minor crops or semi-
45 domesticated plants, more tolerant to droughts and pests, providing a reserve for extended periods of
46 economic hardship (Ramphela, 2004). In southern Sudan, women are directly responsible for the
47 selection of all sorghum seeds saved for planting each year. They cull seeds and preserve a spread of
48 varieties that will ensure resistance to the variety of conditions that may arise in any given growing
49 season (Easton and Roland, 2000).
50

1 Not only is local knowledge being called on to enhance the understanding of adaptive capacity but
2 such knowledge is also being used to inform the existing science of global environmental change and
3 to challenge some of the myths that feed certain notions of environmental change in Africa (e.g.
4 Davis, 2005 building on much earlier work by Ellis and Swift, 1988, among others). Existing data,
5 and indigenous ecological knowledge, for example, drawn from Moroccan range managers, is
6 contesting notions of increased desertification due to overgrazing or other pastoral activities with
7 Davis (2005) arguing for a careful examination of ‘privileged knowledge’ vs ‘suppressed
8 knowledge’ in global environmental change in Africa (e.g. Davis, 2005, 13). Such interrogations of
9 ‘drivers’ of land-use change may become of increasing relevance particularly as land use changes are
10 being seen to be strong contributing factors ‘driving’ the climate system in parts of Africa (see
11 section 9.3.1. above). In other cases, it is argued that current patterns of adaptation, in the face of a
12 range of stresses including climate stress, can build on traditional and historical cases of success. “If
13 these rich local traditions have been so adaptable in the past, as they certainly have been, they are
14 likely to be able to absorb and build on ideas that are flowing in rapidly from the outside” (Batterbury
15 and Warren, 2001).

16
17

18 **9.7 Conclusions**

19

20 ***9.7.1 Links between climate change and sustainable development***

21

22 Climate has always been something that those living in Africa have had to contend with. The
23 difference, however, for many Africans is that their ability to respond is already burdened by other
24 demands and stresses e.g. HIV/AIDS, conflict, land struggles etc. Despite good economic growth in
25 some countries and sectors in Africa [e.g. in 2003 the economic growth rate of Africa was 3.6. per
26 cent – a four-year high (OECD, 2003/2004)] large inequalities still persist and hopes of reaching the
27 MDGs by 2015 are slipping (e.g. OECD, 2003/2004).

28

29 Although future climate change seems to be a marginal issue when compared to the current pressing
30 issues of development and the existing challenges of poverty (Davidson *et al.*, 2003), it is clear in
31 some cases that climate change and variability, and increased disaster risks, may hamper
32 development. On an annual basis, for example, developing countries have absorbed US \$35 billion in
33 damages from natural disasters (Mirza, 2003). A challenge therefore is to shape and manage
34 development while at the same time building resilience to shocks, including those related to climate
35 change and variability (Davidson *et al.*, 2003), in the context of competing sustainable development
36 objectives at various scales and at various levels (Adger *et al.*, 2003).

37

38 ***9.7.1.1 Relationship between climate change in Africa and the Millennium Development Goals***

39

40 Among the key commitments, targets and timetables from the Johannesburg Plan of Implementation
41 is the sustainable development for Africa by improving sustainable agricultural productivity and food
42 security in accordance with the Millennium Development Goals (MDGs), in particular to halve by
43 2015 the proportion of people who live in poverty. While climate change may not have featured
44 directly in the goals it is clear from evidence here that climate change and variability may be an
45 additional impediment to achieving them (Table 9.5).

46

1 **Table 9.5: Potential Impacts of Climate Change on the Millennium Development Goals (Anonymous,**
 2 **2002)**

Millennium Development Goals: Climate Change as a cross-cutting issue	
<i>Millennium Development Goal</i>	Potential Impacts
<ul style="list-style-type: none"> • Eradicate extreme poverty and hunger (Goal 1) 	<p>Climate Change may reduce poor people’s livelihood assets, for example health, access to water, homes and infrastructure. It may also alter the path and rate of development (09.5)</p> <p>Economic growth due to change in natural systems and resources, infrastructure and labour productivity. A reduction in economic growth directly impacts poverty through reduced income opportunities, placing possible additional strains on regional and local food security (09.6.1).</p>
<ul style="list-style-type: none"> • Health related goals: Combat major diseases • Reduce infant mortality • Improve maternal health (Goals 4,5 & 6) 	<p>Climate change is likely to directly impact children and pregnant women because they are particularly susceptible to vector and water borne diseases e.g. anaemia – resulting from malaria which is currently responsible for a quarter of maternal mortality. Other expected impacts include:</p> <ul style="list-style-type: none"> • Increase heat-related mortality and illness associated with heat waves (which may be balanced by less winter cold related deaths in some countries) sections • Increase the prevalence of some vector borne disease (e.g. malaria to dengue fever), and vulnerability to water, food or person to person borne diseases (e.g. cholera and dysentery). (09.4.3). • Declining quantity and quality of drinking water, which exacerbate malnutrition, since it is a prerequisite for good health . • Reducing natural resource productivity and threatening food security, particularly in sub Saharan Africa? (09.4.5; 09.6.1)
<ul style="list-style-type: none"> • Ensure environmental sustainability (Goal 7) 	<p>Direct impacts: • Climate Change may alter the quality and productivity of natural resources and ecosystems, some of which may be irreversibly damaged, and these changes may also decrease biological diversity and compound existing environmental degradation (09.4.5; 09.4.6).</p> <p>- Climate change would alter the ecosystem-human interfaces and interactions that may lead to loss of biodiversity and hence erode the basic support systems for the livelihood of many people in Africa. (09.4.5; 09.6.1).</p>
<ul style="list-style-type: none"> • Achieve universal primary education (Goal 2) 	<p>Indirect impacts: Links to climate change include:</p> <ul style="list-style-type: none"> • Loss of livelihood assets (natural, health, financial and physical capital) may reduce opportunities for full time education in numerous ways. • Natural disasters and drought reduce children’s available time (which may be diverted to household tasks) while displacement and migration can reduce access to education opportunities. (09.2.2).

Millennium Development Goals: Climate Change as a cross-cutting issue	
<i>Millennium Development Goal</i>	Potential Impacts
<ul style="list-style-type: none"> Promote gender equality and empower women (Goal 3) 	<p>One of the expected impacts of climate change is that it could exacerbate current gender inequalities, through impacting natural resource base leading to decreasing agricultural productivity. This may place additional burdens on women's health, and reduce time available to participate in decision-making and for practicing income generation activities.</p> <ul style="list-style-type: none"> Climate related disasters have been found to impact more severely female-headed households particularly where they have fewer assets to start with. (09.5.1-09.5.3; 09.7.1).
<ul style="list-style-type: none"> Global Partnerships (goal 8) 	Global climate change is a global issue and responses require global cooperation, especially to help developing countries adapt to adverse impacts of climate change

1 *The order of the MDGs Goals as listed here represents the goals that could be directly impacted first,
2 followed by those that are indirectly impacted.

3 4 5 9.7.1.2. Relationships between mitigation policies and development

6
7 Limiting climate change to 'safe' levels in the long term is likely to require not only focussing on
8 poverty, climate change and adaptation but also focussing on climate change mitigation priorities and
9 strategies to enhance development (Beg *et al.*, 2002). GHG emissions are, for example, insignificant
10 across with the major emissions in these areas estimated to be coming from land use and
11 deforestation. At the same time, however, the region is one of the most vulnerable to the impacts of
12 climate stress and possible climate change. Therefore several 'ancillary benefits' of GHG mitigation
13 and development could be achieved e.g. avoiding loss of life through illness due to air pollution (e.g.
14 Beg *et al.*, 2002) with some indications of the net ancillary benefits being estimated (e.g. OECD *et*
15 *al.*, 2000). It is critical, however, for developing countries to ensure that these policies are not
16 implemented at the cost of reduced economic development (Beg *et al.*, 2002), and it is here that the
17 synergies and tradeoffs of such policies require much closer investigation.

18
19 The Clean Development Mechanism (CDM) is the only Kyoto mechanism whereby developed
20 countries invest in Southern, or developing countries, and it is aimed at being part of a program of
21 sustainable development. For some developing countries, this is important because of the possible
22 attraction of foreign investment. However, in the African context a wide vision of the possibilities of
23 mitigation must be taken (e.g. Leach and Leach, 2004; (Spalding-Fech and Simmonds, 2005, see also
24 the recent World Bank Climate Plan, McKay, 2006).

25 26 27 9.8 Key uncertainties, confidence levels, unknowns, research gaps and priorities

28 29 9.8.1 Uncertainties, confidence levels and unknowns

- 30
31
- 32 While climate models are generally consistent regarding the direction of warming in Africa, projected changes in precipitation are less consistent.
 - 33 The role of land-use and cover change seems to emerge as a key theme, although the links of land use changes, climate stress and possible feedbacks, are not yet clearly understood.
 - 34 The contribution of climate to food insecurity in Africa is still not fully understood, particularly the role of multiples stresses. While drought may affect production in some years, climate variability does not explain the continuous decline of food production for three or four decades in Africa. Better models and methods to better understand 'multiple stresses', particularly at a
- 35
36
37
38

- 1 range of scales e.g. global, regional and local, are required.
- 2 • Several areas of debate also exist, particularly with reference to health, frustrating attempts to
- 3 attribute, with confidence, cause to single ‘drivers’ of change (e.g. the role of climate in
- 4 influencing malaria). The impact of climate change on other health problems and major
- 5 diseases is also, despite a few cases, still speculative.
- 6 • Impacts in the water sector, while addressed by global and regional scale model assessments,
- 7 are still relatively thin for local assessments, particularly examining ground water impacts.
- 8 • Several of the impacts and vulnerabilities presented here are derived from global models which
- 9 mask local level situations. Developing and improving local-level climate models and scenarios
- 10 could improve the confidence attached to the various projections.
- 11 • Finally, there is still much uncertainty of attributing the role of climate change in complex
- 12 systems, shaped by various interacting multiple stressors, with degrees of confidence.
- 13 Preliminary investigations do give some indications of possible changes, but these require more
- 14 analysis.

15
16

17 **9.8.2 Research gaps and priorities**

18

19 As shown at the outset of this chapter, there has been a substantial shift from an impacts-led

20 approach in climate change science to a vulnerability-led approach. Despite this shift, much of the

21 research remains focussed on impacts. For Africa, as this chapter has attempted to show, however, a

22 great deal more needs to be done to understand and show the links between vulnerability, impacts

23 and adaptation to climate change and variability. Some research gaps are identified below:

24

25 *Climate*

26 The climate of Africa is still not fully understood. Climate models developed from GCMs are very

27 coarse and hide important regional variations in Africa’s climate. There is also the need to develop

28 regional climate models and sub-regional models at the scale that would be meaningful to decision-

29 makers. A further need is an improved understanding of climate variability, periods of climate

30 extremes, and links to climate change.

31

32 *Water*

33 Detailed, regional-scale research of the impact of, and vulnerability to, climate variability and change

34 with reference to water is needed e.g. for African watersheds and river basins including the complex

35 interactions of water governance in these areas. Water quality and its relation to water usage patterns

36 is also an important issue that needs to be incorporated into future projections.

37

38 *Energy*

39 There is very little detailed information on the impacts and vulnerabilities of the energy sector in

40 Africa to climate change and variability. There is also a need to identify and assess the barriers

41 (technical, economical and social) to the transfer and adoption of alternative and renewable energy

42 sources specifically solar energy as well as the design, implications, impacts and possible benefits of

43 current mitigation options (e.g. CDMs).

44

45 *Ecosystem*

46 There is a great need for a well-established program of research and technology development in

47 climate prediction to assess the risks and impacts of climate changes in ecosystems including wildlife

48 species in Africa. Assessment of the impacts of climate variability and change on important, sensitive

49 and unique ecosystems in Africa (hotspots) e.g. Rainforest of Congo Basin, Mountainous

50 biodiversity (e.g. Mara mountain in Sudan, Kenya and Kilimanjaro) still require further research.

51

1 *Tourism*

2 There is a need to enhance practical research regarding the vulnerability and impacts of climate
3 change on tourism, as tourism is one of the important and highly promising economic activities in
4 Africa. There seem to be large gaps in research on energy and tourism implications and impacts of
5 climate change.

6

7 *Health*

8 Most assessments have concentrated on malaria. There is a need to examine the vulnerabilities and
9 impacts of future climate change to and for other diseases in Africa such as dengue fever, meningitis,
10 etc. as well as seriously beginning a dialogue and research effort on the heightened vulnerabilities
11 associated with HIV/AIDS and periods of climate stress and climate change. There have been,
12 arguably, few attempts to really explore this area of research in Africa.

13

14 *Agriculture*

15 More regional and local research is still required to study the relation between CO₂ enrichment and
16 future production of agricultural crops in Africa. Very little research has been conducted on the
17 impacts of climate change on livestock, plant pests and diseases. The livestock sector is a very
18 important sector in Africa and is considered very vulnerable to climate change.

19

20 *Adaptation:*

21 There is need to improve our understanding of the role of complex socio-economic, socio-cultural
22 and biophysical systems, including a re-examination of possible myths of environmental change and
23 links between climate change, adaptation and development in Africa. Such investigations arguably
24 underpin much of the emerging discourse on adaptation. There is also a need to assess the current
25 and expected future impacts and vulnerabilities that may arise from the interaction of multiple
26 stressors on the coping capacities of African communities.

27

28 There is also a need to improve and continue to assess the means (including the institutional design
29 and requirements) by which scientific knowledge and advanced technological products e.g. (early
30 warning, seasonal forecasts) could be used to enhance resilience of vulnerable communities in Africa
31 in order to improve their coping capacity to current and future climate variability and change. There
32 is also the need for recognised ‘hubs’ or centres of excellence that need to be established in Africa
33 where African scientific capacity can be developed by African scientists, enhancing institutional
34 ‘absorptive capacity’ in the various regions to improve research in fields of climate change impacts,
35 vulnerability and adaptation.

36

37 Finally, despite the shift in focus from ‘impacts-led’ research to ‘vulnerability-led’ research there are
38 still few studies that clearly show the interaction of multiple stresses and adaptation to such stresses
39 in Africa. The role of land use and cover change is one area that could be further explored to enhance
40 the understanding of the complex system. Likewise, while there is evidence of researchers grappling
41 with various paradigms of research e.g. disaster risk reduction and climate change, there are still few
42 detailed and rich compendia of studies on ‘human dimensions’ interactions, adaptation and climate
43 change (both of a historical, current and future scenarios nature). The need for better understanding,
44 methods and meta- and detailed local-level analysis of the role of ‘multiple stressors’ in the African
45 context, is clearly evident from much of this chapter.

46

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